

# ON THE SEMIGROUP OF GRAPH GONALITY SEQUENCES

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ABSTRACT. The  $r$ th gonality of a graph is the smallest degree of a divisor on the graph with rank  $r$ . The gonality sequence of a graph is a tropical analogue of the gonality sequence of an algebraic curve. We show that the set of truncated gonality sequences of graphs forms a semigroup under addition. Using this, we study which triples  $(x, y, z)$  can be the first 3 terms of a graph gonality sequence. We show that nearly every such triple with  $z \geq \frac{3}{2}x + 2$  is the first three terms of a graph gonality sequence, and also exhibit triples where the ratio  $\frac{z}{x}$  is an arbitrary rational number between 1 and 3. In the final section, we study algebraic curves whose  $r$ th and  $(r + 1)$ st gonality differ by 1, and posit several questions about graphs with this property.

## 1. INTRODUCTION

The theory of divisors on graphs, developed by Baker and Norine in [Bak08, BN09], mirrors that of divisors on curves. Two important invariants of a divisor  $D$ , on either a graph or a curve, are its degree  $\deg(D)$  and its rank  $\text{rk}(D)$ . For  $r \geq 1$ , the  $r$ th *gonality* of a graph is the smallest degree of a divisor of rank  $r$ :

$$\text{gon}_r(G) := \min_{D \in \text{Div}(G)} \{\deg(D) \mid \text{rk}(D) \geq r\}.$$

The *gonality sequence* of a graph  $G$  is the sequence:

$$\text{gon}_1(G), \text{gon}_2(G), \text{gon}_3(G), \dots$$

In [ADM<sup>+</sup>21], the authors ask which integer sequences are the gonality sequence of some graph.

In this paper, we approach this problem by studying the first  $r$  terms of the gonality sequence. Let

$$\mathcal{G}_r := \{\vec{x} \in \mathbb{N}^r \mid \exists \text{ a graph } G \text{ with } \text{gon}_k(G) = x_k \text{ for all } k \leq r\}.$$

Our first main observation is that  $\mathcal{G}_r$  is a *semigroup* – that is, it is closed under addition. We say that an element  $\vec{x} \in \mathcal{G}_r$  is *reducible* if it can be written as the sum of two elements in  $\mathcal{G}_r$ .

**Theorem 1.1.** *The set  $\mathcal{G}_r$  is closed under addition. Moreover, if  $\vec{x} \in \mathcal{G}_r$  is reducible, then for all  $g$  sufficiently large, there exists a graph  $G$  of genus  $g$  such that  $\text{gon}_k(G) = x_k$  for all  $k \leq r$ .*

The set  $\mathcal{G}_r$  is always contained in the cone:

$$\mathcal{C}_r := \{\vec{x} \in \mathbb{N}^r \mid x_i < x_{i+1} \text{ and } x_{i+j} \leq x_i + x_j \text{ for all } i, j \leq r\}$$

(See Lemmas 2.1 and 2.2). Using Theorem 1.1, we give a short proof of [ADM<sup>+</sup>21, Theorem 1.5].

**Theorem 1.2.** [ADM<sup>+</sup>21, Theorem 1.5] *We have*

$$\mathcal{G}_2 = \mathcal{C}_2 = \{(x, y) \in \mathbb{N}^3 \mid x + 1 \leq y \leq 2x\}.$$

Moreover, if  $x + 2 \leq y \leq 2x$ , then for all sufficiently large  $g$ , there exists a graph  $G$  of genus  $g$  such that  $\text{gon}_1(G) = x$  and  $\text{gon}_2(G) = y$ .

As noted in Section 4 of [ADM<sup>+</sup>21], Theorem 1.2 demonstrates that there are graphs whose gonality sequence cannot be the gonality sequence of an algebraic curve. For example, if  $C$  is a curve whose 2nd gonality  $\text{gon}_2(C) = p$  is prime, then  $C$  maps generically 1-to-1 onto a plane curve of degree  $p$ . It follows that the genus of  $C$  is at most  $\binom{p-1}{2}$ . On the other hand, if  $p \geq 5$ , then by Theorem 1.2 there exists a graph  $G$  of genus  $g$  with  $\text{gon}_1(G) = p - 2$  and  $\text{gon}_2(G) = p$  for all  $g$  sufficiently large. Since the genus of a graph is determined by its gonality sequence, we see that the gonality sequence of  $G$  does not agree with that of any algebraic curve.

On the other hand, if  $\text{gon}_2(G) = \text{gon}_1(G) + 1$ , then  $(\text{gon}_1(G), \text{gon}_2(G))$  is an irreducible element of  $\mathcal{G}_2$ . We know of two infinite families of graphs such that the 2nd gonality is 1 greater than the 1st gonality – the complete graph  $K_{x+1}$  and the generalized banana graph  $B_{x,x}^*$  from [ADM<sup>+</sup>21]. Interestingly, both graphs have genus  $\binom{x}{2}$  and 3rd gonality  $\text{gon}_3 = 2x$ . This is exactly the genus and 3rd gonality of an algebraic curve  $C$  satisfying  $\text{gon}_2(C) = \text{gon}_1(C) + 1 = x + 1$  (see Lemma 7.2). We ask whether this holds more generally.

**Question 1.3.** *Let  $G$  be a graph with the property that  $\text{gon}_2(G) = \text{gon}_1(G) + 1$ .*

- (1) *Is the genus of  $G$  necessarily  $g = \binom{\text{gon}_1(G)}{2}$ ?*
- (2) *For  $r < g$ , do we have*

$$\text{gon}_r(G) = k \cdot \text{gon}_2(G) - h,$$

where  $k$  and  $h$  are the uniquely determined integers with  $1 \leq k \leq \text{gon}_2(G) - 3$ ,  $0 \leq h \leq k$ , such that  $r = \frac{k(k+3)}{2} - h$ ?

- (3) *In particular, if  $\text{gon}_1(G) \geq 2$ , does it follow that  $\text{gon}_3(G) = 2 \cdot \text{gon}_1(G)$ ?*

Much of this paper is dedicated to studying  $\mathcal{G}_3$ . Unlike  $\mathcal{G}_2$ , we are unable to provide a complete description of  $\mathcal{G}_3$ . However, we have the following partial result.

**Theorem 1.4.** *Let  $(x, y, z) \in \mathcal{C}_3$  with  $z \geq 2x$ . Suppose further that:*

- *if  $y = x + 1$ , then  $z = 2x$ , and*
- *if  $z = x + y$ , then  $y = 2x$ .*

*Then  $(x, y, z) \in \mathcal{G}_3$ .*

We suspect that Theorem 1.4 classifies triples  $(x, y, z) \in \mathcal{G}_3$  with  $z \geq 2x$ . Indeed, by Lemmas 2.1 and 2.2, we have  $\mathcal{G}_3 \subseteq \mathcal{C}_3$ . If  $y = x + 1$ , then an affirmative answer to Question 1.3 would show that  $z = 2x$ . Similarly, if  $z = x + y$ , then an affirmative answer to [ADM<sup>+</sup>21, Question 4.5] would show that  $y = 2x$ . The goal of the rest of this paper is to study triples  $(x, y, z) \in \mathcal{G}_3$  with  $z < 2x$ . In Section 6, we prove the following.

**Theorem 1.5.** *Let  $(x, y, z) \in \mathcal{C}_3$  with  $x + 2 \leq y \leq z - 2$  and  $z \geq \frac{3}{2}x + 2$ . Then  $(x, y, z) \in \mathcal{G}_3$ .*

Theorems 1.4 and 1.5 gives a possibly complete description of triples  $(x, y, z) \in \mathcal{G}_3$  with  $z \geq \frac{3}{2}x + 2$ . However, there exist triples  $(x, y, z) \in \mathcal{G}_3$  such that  $z < \frac{3}{2}x + 2$ . Indeed, we have the following.

**Lemma 1.6.** *Let  $q$  be a rational number in the range  $1 < q \leq 3$ . Then there exists  $(x, y, z) \in \mathcal{G}_3$  such that  $\frac{z}{x} = q$ .*

Unfortunately, it is difficult to write down a simple, closed-form expression for the semigroup generated by these triples. It seems likely that the techniques of this paper could be used to study  $\mathcal{G}_r$  for  $r \geq 4$ , or to produce analogues of Theorem 1.5 where the ratio  $\frac{z}{x}$  is bounded below by a constant that is smaller than  $\frac{3}{2}$ .

The paper is organized as follows. In Section 2, we present background on the divisor theory of graphs. In Section 3 we introduce graphs with known 1st, 2nd, and 3rd gonality. In Section 4, we prove all of the main results except for Theorem 1.4, which is proved in Sections 5 and 6. Finally, in Section 7, we study the gonality sequences of certain algebraic curves, and ask several questions about graphs with the same gonality sequences.

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## 2. PRELIMINARIES

In this section we will introduce the notion of gonality on graphs, along with important terms and concepts. Throughout, we allow graphs to have parallel edges, but no loops.

A *divisor* on a graph  $G$  is a formal  $\mathbb{Z}$ -linear combination of the vertices in  $G$ . A divisor  $D$  can be expressed as

$$D = \sum_{v \in V(G)} D(v) \cdot v,$$

where each  $D(v)$  is an integer. The *degree* of a divisor  $D$ , denoted  $\deg(D)$ , is the sum of the coefficients of  $D$ . The *support* of a divisor, denoted  $\text{Supp}(D)$ , is defined as

$$\text{Supp}(D) = \{v \in V(G) \mid D(v) > 0\}$$

It is standard to think about divisors on graphs in terms of chip configurations. In a chip configuration, the coefficient of a vertex  $v$  is reinterpreted as the number of chips sitting on  $v$ . So, in a divisor  $D$ ,  $v$  has  $D(v)$  chips sitting on it. A vertex with a negative number of chips is said to be “in debt.” A divisor is *effective* if, for every  $w \in V(G)$ , we have  $D(w) \geq 0$ . In other words, a divisor is effective if there are no vertices in debt. A divisor is *effective away from  $v$*  if, for every  $w \in V(G) \setminus \{v\}$ , we have  $D(w) \geq 0$ .

From this interpretation we can define a chip-firing move. Firing a vertex  $v$  causes  $v$  to redistribute some of its chips by passing one chip across each of the edges incident to it. We say that two divisors  $D$  and  $D'$  are *equivalent* if  $D'$  can be obtained from  $D$  via a sequence of chip firing moves. The *rank* of a divisor  $D$ , denoted  $\text{rk}(D)$ , is the largest integer  $r$  such that  $D - E$  is equivalent to an effective divisor for every effective divisor  $E$  of degree  $r$ . The  *$r$ th gonality* of a graph is the minimum degree over all divisors of rank  $r$ .

Gonality is often framed as a chip firing game. Given a starting divisor we allow the “opponent” of the game to remove  $r$  chips from anywhere on the graph. A divisor has rank  $r$  if, for every choice of chips by the opponent, there is a sequence of chip firing moves that eliminates all debt on the graph.

We recall some basic facts about the  $r$ th gonality from [ADM<sup>+</sup>21].

**Lemma 2.1.** [ADM<sup>+</sup>21, Lemma 3.1] *Let  $G$  be a graph. For all  $r$ , we have  $\text{gon}_r(G) < \text{gon}_{r+1}(G)$ .*

**Lemma 2.2.** [ADM<sup>+</sup>21, Lemma 3.2] *Let  $G$  be a graph. For all  $r$  and  $s$ , we have  $\text{gon}_{r+s}(G) \leq \text{gon}_r(G) + \text{gon}_s(G)$ .*

For a graph  $G$  and a vertex  $v \in V(G)$  we say that a divisor  $D$  is  $v$ -reduced if the following conditions are satisfied:

- (1)  $D$  is effective away from  $v$ , and
- (2) for any subset  $A \subseteq V(G) \setminus \{v\}$ , the divisor  $D'$  obtained by firing the all vertices in  $A$  is not effective.

Given a divisor  $D$  and a vertex  $v$ , there exists a unique divisor equivalent to  $D$  that is  $v$ -reduced. Dhar's Burning Algorithm is a procedure that produces this unique representative.

Given a divisor  $D$  and a vertex  $v$ , we produce the unique  $v$ -reduced divisor equivalent to  $D$  by performing Dhar's burning algorithm as follows:

- (1) Replace  $D$  with a divisor that is effective away from  $v$ .
- (2) Start a fire by burning vertex  $v$ .
- (3) Burn every edge that is incident to a burnt vertex.
- (4) Let  $U$  be the set of unburnt vertices. For each  $w \in U$  we burn  $w$  if the number of burnt edges incident to  $w$  is strictly greater than  $D(w)$ . If no new vertices in  $U$  were burnt proceed to step (5). Otherwise return to step (3).
- (5) Let  $U$  be the set of unburnt vertices. If  $U$  is empty, then  $D$  is  $v$ -reduced and the algorithm terminates. Otherwise, replace  $D$  with the equivalent divisor  $D'$  obtained by firing all vertices in  $U$  and return to step (2).

Note that a divisor is  $v$ -reduced if and only if starting a fire at  $v$  results in the entire graph being burnt. This makes Dhar's burning algorithm useful for determining if a divisor has positive rank. For any  $v$ -reduced divisor  $D$ , if  $D(v) < 0$ , then  $D$  does not have positive rank.

### 3. DRAMATIS PERSONAE

This section surveys graphs for which the first few terms of the gonality sequence are known. The first of these graphs is the complete graph  $K_n$ , which has genus  $g = \binom{n-1}{2}$ .

**Lemma 3.1.** [CP17, Theorem 1] *For  $r < g$ , the  $r$ th gonality of the complete graph  $K_n$  is  $\text{gon}_r(K_n) = kn - h$ , where  $k$  and  $h$  are the uniquely determined integers with  $1 \leq k \leq n - 3$ ,  $0 \leq h \leq k$ , such that  $r = \frac{k(k+3)}{2} - h$ . In particular, if  $n \geq 3$ , then*

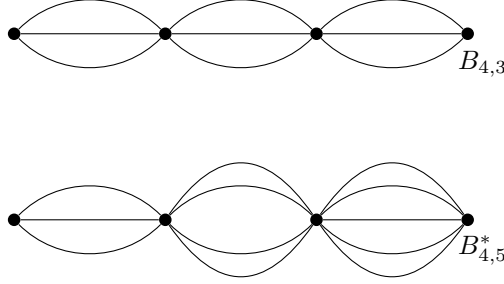
$$\begin{aligned}\text{gon}_1(K_n) &= n - 1 \\ \text{gon}_2(K_n) &= n \\ \text{gon}_3(K_n) &= 2n - 2.\end{aligned}$$

Next, we have the complete bipartite graph  $K_{m,n}$ , which has genus  $g = (m - 1)(n - 1)$ . Let

$$I_r = \{(a, b, h) \in \mathbb{N}^3 \mid a \leq m - 1, b \leq n - 1, \text{ and } r = (a + 1)(b + 1) - 1 - h\},$$

and let

$$\delta_r(m, n) = \min\{an + bm - h \mid (a, b, h) \in I_r\}.$$

FIGURE 1. The generalized banana graphs  $B_{4,3}$  and  $B_{4,5}^*$ .

**Lemma 3.2.** [CDJP19, Theorem 4] *For  $r < g$ , the  $r$ th gonality of the complete bipartite graph  $K_{m,n}$  is  $\text{gon}_r(K_{m,n}) = \delta_r(m, n)$ . In particular, if  $2 \leq m \leq n$ , then*

$$\begin{aligned}\text{gon}_1(K_{m,n}) &= m \\ \text{gon}_2(K_{m,n}) &= \min\{2m, m + n - 1\} \\ \text{gon}_3(K_{m,n}) &= \min\{3m, m + n\}.\end{aligned}$$

The *banana graph*  $B_n$  is the graph consisting of 2 vertices with  $n$  edges connecting them. A *generalized banana graph* is a graph with vertex set  $\{v_1, \dots, v_n\}$  such that for each  $1 \leq i < n$ , there is at least 1 edge between  $v_i$  and  $v_{i+1}$  and no edges elsewhere.

In [ADM<sup>+</sup>21], the authors study the gonality sequences of different families of generalized banana graphs. The generalized banana graph  $B_{n,e}$  is the graph with vertex set  $\{v_1, \dots, v_n\}$  and where there are  $e$  edges between  $v_i$  and  $v_{i+1}$  for  $1 \leq i \leq n - 1$ . The generalized banana graph  $B_{a,b}^*$  is the graph with vertex set  $\{v_1, \dots, v_a\}$  and with  $b - a + i + 1$  edges between  $v_i$  and  $v_{i+1}$  for  $1 \leq i \leq a - 1$ . The generalized banana graphs  $B_{4,3}$  and  $B_{4,5}^*$  are depicted in Figure 1.

**Lemma 3.3.** [ADM<sup>+</sup>21, Lemmas 5.2-5.4] *We have*

$$\begin{aligned}\text{gon}_1(B_{n,e}) &= \min\{n, e\} \\ \text{gon}_2(B_{n,e}) &= \min\{2n, 2e, n + e - 1\}.\end{aligned}$$

**Lemma 3.4.** [ADM<sup>+</sup>21, Lemmas 5.5 and 5.6] *If  $2 \leq a \leq b \leq 2a - 1$ , we have*

$$\begin{aligned}\text{gon}_1(B_{a,b}^*) &= a \\ \text{gon}_2(B_{a,b}^*) &= b + 1.\end{aligned}$$

The *2-dimensional  $n$  by  $m$  rook graph* is the Cartesian product of the complete graphs  $K_n$  and  $K_m$ . The vertices can be thought of as the squares of an  $n \times m$  chessboard, in which two vertices are adjacent if a rook can move from one to the other. By convention, we assume throughout that  $m \geq n$ . In [Spe22], Speeter computes the first 3 gonality sequences of these rook graphs.

**Lemma 3.5.** [Spe22] *If  $2 \leq n \leq m$ , then*

$$\begin{aligned}\text{gon}_1(K_n \square K_m) &= (n - 1)m \\ \text{gon}_2(K_n \square K_m) &= nm - 1 \\ \text{gon}_3(K_n \square K_m) &= nm.\end{aligned}$$

## 4. PROOFS OF THEOREMS 1.1-1.4

In this section, we prove many of the main theorems. The central construction is the following. Given two graphs  $G_1$  and  $G_2$ , and vertices  $v_1 \in V(G_1)$ ,  $v_2 \in V(G_2)$ , we “put them together” by connecting  $v_1$  to  $v_2$  with a number of parallel edges, as in Figure 2.

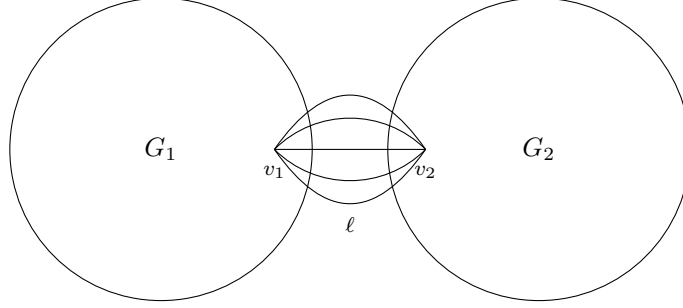


FIGURE 2. Gluing two graphs together

**Lemma 4.1.** *Let  $G_1$  and  $G_2$  be graphs, let  $v_1 \in V(G_1)$ ,  $v_2 \in V(G_2)$ , and let  $G$  be the graph obtained by connecting  $v_1$  to  $v_2$  with  $\ell$  edges, as in Figure 2. If  $D$  is a  $v_1$ -reduced divisor on  $G$ , then  $\text{rk}(D|_{G_1}) \geq \text{rk}(D)$ .*

*Proof.* Let  $E$  be an effective divisor of degree  $\text{rk}(D)$  on  $G_1$ . By definition,  $D - E$  is equivalent to an effective divisor. There exists a sequence of subsets:

$$U_1 \subseteq U_2 \subseteq \cdots \subseteq U_k \subset V(G)$$

and a sequence of effective divisors  $D_0, \dots, D_k$  such that:

- (1)  $D_0 = D$ ,
- (2)  $D_k - E$  is effective, and
- (3)  $D_i$  is obtained from  $D_{i-1}$  by firing  $U_i$ .

Since  $D_0$  is  $v_1$ -reduced and  $D_1$  is effective, we must have  $v_1 \in U_1$ . Thus,  $v_1 \in U_i$  for all  $i$ .

Now, consider the sequence of subsets  $W_i = U_i \cup V(G_2)$ . Let  $D'_0 = D$  and let  $D'_i$  be the divisor obtained from  $D'_{i-1}$  by firing  $W_i$ . Since  $V(G_2) \cup \{v_1\} \subseteq W_i$  for all  $i$ , we have  $D'_i(v) = D(v)$  for all  $v \in V(G_2)$ . Since  $D$  is  $v_1$ -reduced, it follows that  $D'_k(v) \geq 0$  for all  $v \in V(G_2)$ . Note also that  $D'_i(v) = D_i(v)$  for all  $v \in V(G_1) \setminus \{v_1\}$ , and  $D'_i(v_1) \geq D_i(v_1)$ . It follows that  $D'_k - E$  is effective.

Finally, note that firing  $W_i$  passes no chips from  $G_1$  to  $G_2$  or from  $G_2$  to  $G_1$ . Thus,  $D|_{G_1}$  is equivalent to  $D'_k|_{G_1}$  on  $G_1$ . In this way,  $D|_{G_1} - E$  is equivalent on  $G_1$  to an effective divisor. Since  $E$  was arbitrary, we see that  $\text{rk}(D|_{G_1}) \geq \text{rk}(D)$ .  $\square$

If the number of parallel edges between  $v_1$  and  $v_2$  is large enough, then the  $r$ th gonality of the graph  $G$  is the sum of the  $r$ th gonality of the graphs  $G_1$  and  $G_2$ .

**Proposition 4.2.** *Let  $G_1$  and  $G_2$  be graphs, let  $v_1 \in V(G_1)$ ,  $v_2 \in V(G_2)$ , and let  $G$  be the graph obtained by connecting  $v_1$  to  $v_2$  with  $\ell$  edges. If  $\ell \geq \text{gon}_r(G_1) + \text{gon}_r(G_2)$ , then*

$$\text{gon}_k(G) = \text{gon}_k(G_1) + \text{gon}_k(G_2) \text{ for all } k \leq r.$$

*Proof.* Let  $k \leq r$ , let  $D_1$  be a divisor of rank  $k$  and degree  $\text{gon}_k(G_1)$  on  $G_1$ , and let  $D_2$  be a divisor of rank  $k$  and degree  $\text{gon}_k(G_2)$  on  $G_2$ . Then the divisor  $D_1 + D_2$  has rank at least  $k$  on  $G$ , so  $\text{gon}_k(G) \leq \text{gon}_k(G_1) + \text{gon}_k(G_2)$ .

For the reverse inequality, let  $D$  be a divisor of rank at least  $k$  on  $G$ . We must show that  $\text{deg}(D) \geq \text{gon}_k(G_1) + \text{gon}_k(G_2)$ . If  $\text{deg}(D|_{G_i}) \geq \text{gon}_k(G_i)$  for  $i = 1, 2$ , then  $\text{deg}(D) \geq \text{gon}_k(G_1) + \text{gon}_k(G_2)$ . On the other hand, suppose without loss of generality that  $\text{deg}(D|_{G_1}) < \text{gon}_k(G_1)$ . Then  $D|_{G_1}$  has rank less than  $k$ , so we must be able to pass chips from  $G_2$  to  $G_1$ . Since there are  $\ell$  edges between  $G_1$  and  $G_2$ , it follows that  $\text{deg}(D) \geq \ell \geq \text{gon}_k(G_1) + \text{gon}_k(G_2)$ .  $\square$

Theorem 1.1 is a direct corollary.

*Proof of Theorem 1.1.* Let  $\vec{x}, \vec{y} \in \mathcal{G}_r$ . By definition, there exist graphs  $G_1$  and  $G_2$  such that  $\text{gon}_k(G_1) = x_k$  and  $\text{gon}_k(G_2) = y_k$  for all  $k \leq r$ . By Proposition 4.2, there exists a graph  $G$  with  $\text{gon}_k(G) = x_k + y_k$  for all  $k \leq r$ . Moreover, if  $G_1$  has genus  $g_1$  and  $G_2$  has genus  $g_2$ , then by Proposition 4.2, for any  $\ell \geq \text{gon}_r(G_1) + \text{gon}_r(G_2)$ , there exists such a graph  $G$  of genus  $g = g_1 + g_2 + \ell$ .  $\square$

Using the fact that  $\mathcal{G}_2$  is closed under addition, we provide a short proof of Theorem 1.2.

*Proof of Theorem 1.2.* Let  $G$  be a graph. By Lemma 2.1, we have  $\text{gon}_2(G) \geq \text{gon}_1(G) + 1$ . By Lemma 2.2,  $\text{gon}_2(G) \leq 2 \cdot \text{gon}_1(G)$ . In other words,  $\mathcal{G}_2 \subseteq \mathcal{C}_2$ .

We now show the reverse containment. In other words, we show that if  $x + 1 \leq y \leq 2x$ , then there exists a graph  $G$  with  $\text{gon}_1(G) = x$  and  $\text{gon}_2(G) = y$ . We proceed by induction on  $y - x$ . For the base case, when  $y = x + 1$ , by Lemma 3.1, the complete graph on  $x + 1$  vertices  $K_{x+1}$  satisfies

$$x = \text{gon}_1(K_{x+1}) = \text{gon}_2(K_{x+1}) - 1.$$

For the inductive step, if  $y \geq x + 2$ , then since  $(y - 2) - (x - 1) = y - x - 1$ , by induction  $(x - 1, y - 2) \in \mathcal{G}_2$ . If  $T$  is a tree, then  $\text{gon}_r(T) = r$  for all  $r$ , so  $(1, 2) \in \mathcal{G}_2$ . By Theorem 1.1, therefore,  $(x, y)$  is a reducible element of  $\mathcal{G}_2$ , and the result follows.  $\square$

A similar strategy allows us to construct triples  $(x, y, z) \in \mathcal{G}_3$  where  $z$  is large relative to  $x$ .

*Proof of Theorem 1.4.* Let  $(x, y, z) \in \mathbb{N}^3$  satisfy  $x < y < z$ ,  $y \leq 2x$ ,  $z \leq x + y$ . We first show that, if  $z = 2x$ , then  $(x, y, z) \in \mathcal{G}_3$ . If  $y = x + 1$ , then by Lemma 3.1, the first 3 terms of the gonality sequence of the complete graph  $K_y$  are  $(x, x + 1, 2x)$ , so  $(x, x + 1, 2x) \in \mathcal{G}_3$ . Similarly, if  $y = 2x - 1$ , then by Lemma 3.2, the first 3 terms of the gonality sequence of the complete bipartite graph  $K_{x,x}$  are  $(x, 2x - 1, 2x)$ , so  $(x, 2x - 1, 2x) \in \mathcal{G}_3$ . Otherwise, if  $x + 2 \leq y \leq 2x - 2$ , then the first 3 terms of the gonality sequence of the complete graph  $K_{2x-y+1}$  are  $(2x - y, 2x - y + 1, 4x - 2y)$  and the first 3 terms of the gonality sequence of the complete bipartite graph  $K_{y-x, y-x}$  are  $(y - x, 2y - 2x - 1, 2y - 2x)$ . By Theorem 1.1, therefore, we have

$$(2x - y, 2x - y + 1, 4x - 2y) + (y - x, 2y - 2x - 1, 2y - 2x) = (x, y, 2x) \in \mathcal{G}_3.$$

We now consider cases where  $2x < z \leq x + y - 1$ . If  $y = 2x$ , then the first 3 terms of the gonality sequence of the complete bipartite graph  $K_{x, z-x}$  are  $(x, 2x, z)$ , so  $(x, 2x, z) \in \mathcal{G}_3$ . If  $y = x + 2$ , then by assumption,  $z = 2x + 1$ . As above, the first 3 terms of the gonality sequence of the complete graph  $K_x$  are  $(x - 1, x, 2x - 2)$  and

the first 3 terms of the gonality sequence of a tree are  $(1, 2, 3)$ . By Theorem 1.1, therefore, we have

$$(x-1, x, 2x-2) + (1, 2, 3) = (x, x+2, 2x+1) \in \mathcal{G}_3.$$

Finally, we show that, if  $x+3 \leq y \leq 2x-1$ , then  $(x, y, z) \in \mathcal{G}_3$ . Similar to the above, the first 3 terms of the gonality sequence of the complete graph  $K_{2x-y+2}$  are  $(2x-y+1, 2x-y+2, 4x-2y+2)$ . Since  $z > 2x$ , we have  $z+y-3x-1 > y-x-1$ , and since  $z \leq x+y-1$ , we have  $z+y-3x-1 \leq 2(y-x-1)$ . It follows that the first 3 terms of the gonality sequence of the complete bipartite graph  $K_{y-x-1, z+y-3x-1}$  are  $(y-x-1, 2y-2x-2, 2y-4x-2+z)$ . By Theorem 1.1, therefore, we have

$$(2x-y+1, 2x-y+2, 4x-2y+2) + (y-x-1, 2y-2x-2, 2y-4x-2+z) = (x, y, z) \in \mathcal{G}_3.$$

□

*Proof of Lemma 1.6.* If  $q \geq 2$ , the conclusion follows from Theorem 1.4. If  $1 < q < 2$ , then there exists an integer  $n \geq 2$  such that  $\frac{n+1}{n} \leq q < \frac{n}{n-1}$ . If  $q = \frac{n+1}{n}$ , then by Lemma 3.5, for all  $m \geq n+1$ , we have  $(nm, (n+1)m-1, (n+1)m) \in \mathcal{G}_3$ , and the conclusion follows.

If  $q > \frac{n+1}{n}$ , let  $\epsilon_1 = q - \frac{n+1}{n}$ , let  $\epsilon_2 = \frac{n-(n-1)q}{n+1}$ , and let  $\epsilon = \min\{\epsilon_1, \epsilon_2\}$ . By assumption,  $\epsilon > 0$ . We can therefore write  $q = \frac{z}{x}$ , where  $x \geq \frac{1}{\epsilon}$ . Finally, let  $m = nz - (n+1)x$  and let  $m' = nx - (n-1)z$ . By construction,  $m \geq n$  and  $m' \geq n+1$ . By Lemma 3.5 and Theorem 1.1, we have

$$((n-1)m, nm-1, nm) + (nm', (n+1)m'-1, (n+1)m') = (x, z-2, z) \in \mathcal{G}_3.$$

□

### 5. THIRD GONALITY OF THE GRAPHS $B_{a,b}^*$

The 1st and 2nd gonality of the graph  $B_{a,b}^*$  are computed in [ADM<sup>+</sup>21]. In this section, we compute the 3rd gonality of these graphs. We first consider divisors on  $B_{a,b}^*$  of rank 3 with a large number of chips on  $v_a$ .

**Lemma 5.1.** *Let  $D$  be a divisor of at least rank 3 on the graph  $B_{a,b}^*$ . If  $D(v_a) \geq b+1$ , then  $\deg(D) \geq a+b$ .*

*Proof.* For the base case consider the banana graph  $B_{2,b}^*$ . We will proceed by cases.

- (1) If  $D(v_2) = b+1$ , consider the divisor  $D - 2 \cdot (v_2) - (v_1)$ . This divisor has  $b-1$  chips on  $v_2$ , so we must have  $D(v_1) \geq 1$ . Hence,  $\deg(D) \geq a+b$ .
- (2) If  $D(v_2) \geq b+2$ , then  $\deg(D) \geq b+2 = a+b$ .

Now for the induction step assume that the theorem holds for the banana graph  $B_{a-1,b-1}^*$ . If  $D(v_a) \geq a+b$ , we are done. If  $D(v_a) = b+1$ , then by Lemma 4.1, we see that the restriction of  $D$  to  $B_{a-1,b-1}^*$  must have rank at least 1. By Lemma 3.4, it follows that  $\deg(D|_{B_{a-1,b-1}^*}) \geq a$ , hence  $\deg(D) \geq a+b+1$ . Finally, if  $b+2 \leq D(v_a) < a+b$ , consider the equivalent divisor obtained by firing  $v_a$ . This divisor has at least 2 chips on  $v_a$ . Note that  $v_a$  can only be fired once since  $a < b$ . By Lemma 4.1, the restriction of this divisor to the subgraph  $B_{a-1,b-1}^*$  must have rank at least 3 and there are at least  $b$  chips on  $v_{a-1}$ , so by the inductive hypothesis there are at least  $a+b-2$  chips on this subgraph. So  $\deg(D) \geq a+b$ . □

**Corollary 5.1.1.** *If  $2a < b$ , there is no divisor of rank at least 3 on the graph  $B_{a,b}^*$  with  $D(v_a) \geq b+1$  and  $\deg(D) \leq 3a$ .*



*Proof.* By Lemma 5.1, a divisor  $D$  of rank at least 3 with  $D(v_a) \geq b + 1$  must have  $\deg(D) \geq a + b > 3a$ .  $\square$

We also consider divisors on  $B_{a,b}^*$  of rank 3 with a small number of chips on  $v_a$ .

**Lemma 5.2.** *Let  $D$  be a divisor on  $B_{a,b}^*$  of rank at least 3. If  $D(v_a) \leq 2$ , then  $\deg(D) \geq a + b$ .*

*Proof.* Assume without loss of generality that  $D$  is  $v_{a-1}$ -reduced. We proceed by cases.

- (1) If  $D(v_a) = 0$ , then consider the divisor  $D - v_a$ . The resulting divisor has a debt on  $v_a$ , so we must have  $D(v_{a-1}) \geq b$ . After moving  $b$  of these chips to  $v_a$  and subtracting one, by Lemma 4.1, the remaining divisor must have rank at least 2 on  $B_{a-1,b-1}^*$ . Hence, by Lemma 3.4, there must be at least  $b$  more chips on the rest of the graph. So  $\deg(D) \geq 2b \geq a + b$ .
- (2) If  $D(v_a) = 1$ , then consider the divisor  $D - 2 \cdot (v_a)$ . The resulting divisor has a debt on  $v_a$ , so  $D(v_{a-1}) \geq b$ . After moving  $b$  of these chips to  $v_a$  and subtracting one, by Lemma 4.1 the remaining divisor must have rank at least 1 on  $B_{a-1,b-1}^*$ . Hence, by Lemma 3.4, there must be at least  $a - 1$  chips on the rest of the graph. Therefore,  $\deg(D) \geq a + b$ .
- (3) If  $D(v_a) = 2$ , then consider the divisor  $D - 3 \cdot v_a$ . There is now a debt of  $-1$  on  $v_a$ , so again there must be at least  $b$  chips on  $v_{a-1}$ . By Lemma 5.1, there must be at least  $a + b - 2$  chips on the subgraph induced by the vertices  $\{v_1, \dots, v_{a-1}\}$ . Thus,  $\deg(D) \geq (a + b - 2) + 2 = a + b$ .

$\square$

Our computation of the 3rd gonality of  $B_{a,b}^*$  will proceed by induction on  $a$ . The following lemma establishes the base case, when  $a = 2$ .

**Lemma 5.3.** *If  $b \geq 4$ , then  $\text{gon}_3(B_{2,b}^*) = 6$ .*

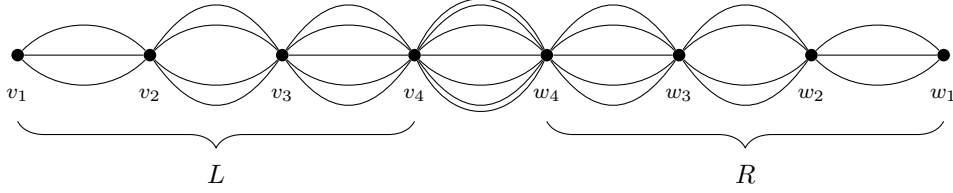
*Proof.* As with any graph  $\text{gon}_3(B_{2,b}^*) \leq 3|V(B_{2,b}^*)| = 6$ . Assume there is a divisor  $D$  with  $\deg(D) < 6$ . By symmetry, we may assume that  $D(v_2) \leq 2$ . We proceed by cases.

- (1) If  $D(v_2) = 0$ , then  $D(v_1) \leq 5$ . Consider the divisor  $D - 2 \cdot (v_1) - (v_2)$ . This divisor has a debt of  $-1$  on  $v_2$  but at most 3 chips on  $v_1$ , so  $D$  cannot be rank at least 3.
- (2) If  $D(v_2) = 1$ , then  $D(v_1) \leq 4$ . Consider the divisor  $D - (v_1) - 2 \cdot (v_2)$ . This divisor has a debt of  $-1$  on  $v_2$  but at most 3 chips on  $v_1$ , so  $D$  cannot be rank at least 3.
- (3) If  $D(v_2) = 2$ , then  $D(v_1) \leq 3$ . Consider the divisor  $D - 3 \cdot (v_2)$ . This divisor has a debt of  $-1$  on  $v_2$  but at most 3 chips on  $v_1$ , so  $D$  cannot be rank at least 3.

We conclude that  $\text{gon}_3(B_{2,b}^*) = 6$ .  $\square$

**Lemma 5.4.** *If  $b \geq 2a$ , then  $\text{gon}_3(B_{a,b}^*) = 3a$ .*

*Proof.* As with any graph,  $\text{gon}_3(B_{a,b}^*) \leq 3|V(B_{a,b,n}^*)| = 3a$ . Now, let  $D$  be a divisor of rank 3, and assume without loss of generality that  $D$  is  $v_{a-1}$ -reduced. If  $\deg(D) \geq a + b$ , we are done. If not, by Lemma 5.2,  $D(v_a) \geq 3$ . We will proceed by induction on  $a$ . The base case is Lemma 5.3. Now assume that  $\text{gon}_3(B_{a-1,b-1}^*) =$

FIGURE 3. The symmetric generalized banana graph  $B_{4,5,7}^{0,0}$ .

$3(a-1)$ . By Lemma 4.1, the restriction of  $D$  to  $B_{a-1,b-1}^*$  must have rank at least 3, so there are at least  $3(a-1)$  chips on that subgraph. It follows that  $\deg(D) \geq 3a$ .  $\square$

**Theorem 5.5.** *If  $a \leq b \leq 2a - 1$  then  $\text{gon}_3(B_{a,b}^*) = a + b$ .*

*Proof.* First note that the divisor

$$(b+1) \cdot (v_a) + \sum_{i=1}^{a-1} v_i$$

has rank at least 3 and degree  $a + b$ , so  $\text{gon}_3(B_{a,b}^*) \leq a + b$ . Now, let  $D$  be a divisor of rank at least 3. If  $\deg(D) \geq a + b$ , we are done. If not, by Lemma 5.2, we have  $D(v_a) \geq 3$ . We proceed by induction on  $a$ . For the base cases, we have  $\text{gon}_3(B_{2,2}^*) = 4$  and  $\text{gon}_3(B_{2,3}^*) = 5$ , by the Riemann-Roch Theorem for graphs [BN09, Theorem 1.12], and  $\text{gon}_3(B_{a,2a}^*) = 3a$  by Lemma 5.4. For the induction step assume that  $\text{gon}_3(B_{a-1,b-1}^*) = a + b - 2$ . Since  $D(v_a) \geq 3$ , therefore, we have  $\deg(D) > a + b$ .  $\square$

## 6. SYMMETRIC GENERALIZED BANANA GRAPHS

Our goal now is to find a large family of graphs  $G$  such that  $\text{gon}_3(G) < 2 \cdot \text{gon}_1(G)$ . In this section, we consider a family of generalized banana graphs. Let  $B_{a,b,k}^{0,0}$  be the graph obtained from 2 copies of  $B_{a,b}^*$  by connecting the two vertices of highest degree with  $k$  edges, as in Figure 3. More precisely, let  $v_1, \dots, v_a$  be the vertices in  $L = B_{a,b}^*$  and let  $w_1, \dots, w_a$  be the vertices in  $R = B_{a,b}^*$ . Then  $B_{a,b,k}^{0,0}$  is the graph obtained by connecting  $v_a$  to  $w_a$  with  $k$  edges.

As we will see in Lemma 6.1 and Corollary 6.2.1 below, the 1st and 2nd gonality of  $B_{a,b,k}^{0,0}$  are both even. To obtain more gonality sequences, we also consider generalized banana graphs that are ‘‘almost’’ symmetric. Let  $B_{a,b,k}^{0,1}$  be the graph obtained by connecting the vertex  $v_a$  in  $L = B_{a,b-1}^*$  to the vertex  $w_a$  in  $R = B_{a,b}^*$  by  $k$  edges. Let  $B_{a,b,k}^{1,0}$  be the graph obtained by connecting the vertex  $v_{a-1}$  in  $L = B_{a-1,b}^*$  to the vertex  $w_a$  in  $R = B_{a,b}^*$  by  $k$  edges. Finally, let  $B_{a,b,k}^{1,1}$  be the graph obtained by connecting the vertex  $v_{a-1}$  in  $L = B_{a-1,b-1}^*$  to the vertex  $w_a$  in  $R = B_{a,b}^*$  by  $k$  edges.

We begin by computing the first gonality of these graphs.

**Lemma 6.1.** *If  $2 \leq a \leq b \leq 2a - 1$  and  $k \geq 2a$ , then*

$$\begin{aligned} \text{gon}_1(B_{a,b,k}^{0,0}) &= \text{gon}_1(B_{a,b,k}^{0,1}) = 2a \\ \text{gon}_1(B_{a,b,k}^{1,0}) &= \text{gon}_1(B_{a,b,k}^{1,1}) = 2a - 1. \end{aligned}$$

*Proof.* This follows directly from Lemma 3.4 and Proposition 4.2.  $\square$

To compute the 2nd gonality of these graphs, we will need the following refinement of Proposition 4.2.

**Proposition 6.2.** *Let  $G_1$  and  $G_2$  be graphs, let  $v_1 \in V(G_1)$ ,  $v_2 \in V(G_2)$ , and let  $G$  be the graph obtained by connecting  $v_1$  to  $v_2$  with  $\ell$  edges. If*

$$\ell \geq \text{gon}_2(G_1) + \text{gon}_2(G_2) - \min\{\text{gon}_1(G_1), \text{gon}_1(G_2)\},$$

then

$$\text{gon}_2(G) = \text{gon}_2(G_1) + \text{gon}_2(G_2).$$

*Proof.* Let  $D_1$  be a divisor of rank 2 and degree  $\text{gon}_2(G_1)$  on  $G_1$ , and let  $D_2$  be a divisor of rank 2 and degree  $\text{gon}_2(G_2)$  on  $G_2$ . Then the divisor  $D_1 + D_2$  has rank at least 2 on  $G$ , so  $\text{gon}_2(G) \leq \text{gon}_2(G_1) + \text{gon}_2(G_2)$ .

For the reverse inequality, let  $D$  be a divisor of rank at least 2 on  $G$ . We must show that  $\deg(D) \geq \text{gon}_2(G_1) + \text{gon}_2(G_2)$ . Without loss of generality, assume that  $D$  is  $v_1$ -reduced. Since  $D$  has rank at least 2,  $\deg(D|_{G_1}) \geq \text{gon}_2(G_1)$  by Lemma 4.1. We proceed by cases. First, if  $\deg(D|_{G_2}) \geq \text{gon}_2(G_2)$ , then  $\deg(D) \geq \text{gon}_2(G_1) + \text{gon}_2(G_2)$ .

Second, if  $\text{gon}_1(G_2) \leq \deg(D|_{G_2}) < \text{gon}_2(G_2)$ , then  $(D|_{G_2})$  does not have rank at least 2, so we must be able to pass chip across the  $\ell$  edges. Thus,  $\deg(D) \geq \ell + \text{gon}_1(G_2) \geq \text{gon}_2(G_1) + \text{gon}_2(G_2)$ .

Finally, if  $\deg(D|_{G_2}) < \text{gon}_1(G_2)$ , then  $D|_{G_2}$  does not have positive rank. Again, we must be able to pass chips across the  $\ell$  edges. Let  $D'$  be the divisor obtained by firing the subset of vertices  $V(G_1)$ . If  $E$  is the sum of a vertex of  $G_1$  and a vertex of  $G_2$ , then  $D - E$  is equivalent to an effective divisor. It follows that  $D'|_{G_1}$  must have positive rank. Thus,  $\deg(D'|_{G_1}) \geq \text{gon}_1(G_1)$ , so  $\deg(D') \geq \ell + \text{gon}_1(G_1) \geq \text{gon}_2(G_1) + \text{gon}_2(G_2)$ .  $\square$

**Corollary 6.2.1.** *If  $2 \leq a \leq b \leq 2a - 1$  and  $k \geq 2b - a + 3$ , then*

$$\begin{aligned} \text{gon}_2(B_{a,b,k}^{0,0}) &= \text{gon}_2(B_{a,b,k}^{1,0}) = 2b + 2 \\ \text{gon}_2(B_{a,b,k}^{0,1}) &= \text{gon}_2(B_{a,b,k}^{1,1}) = 2b + 1. \end{aligned}$$

*Proof.* This follows directly from Lemma 3.4 and Proposition 6.2.  $\square$

We now compute the 3rd gonality of these graphs.

**Theorem 6.3.** *Let  $2 \leq a \leq b \leq 2a - 1$  and let  $2b \leq k$ . We have the following:*

- (1) *if  $k \leq 2a + b - 1$ , then  $\text{gon}_3(B_{a,b,k}^{0,0}) = k + b + 1$ ,*
- (2) *if  $k \leq 2a + b - 1$ , then  $\text{gon}_3(B_{a,b,k}^{0,1}) = k + b$ ,*
- (3) *if  $k \leq 2a + b - 2$ , then  $\text{gon}_3(B_{a,b,k}^{1,0}) = k + b + 1$ , and*
- (4) *if  $k \leq 2a + b - 2$ , then  $\text{gon}_3(B_{a,b,k}^{1,1}) = k + b$ .*

*Proof.* We prove this in the case of  $B_{a,b,k}^{0,0}$ . The other graphs are similar. First note that the divisor  $k \cdot (v_a) + (b + 1) \cdot (w_a)$  has rank at least 3. This shows that  $\text{gon}_3(B_{a,b,k}^{0,0}) \leq b + k + 1$ . For the reverse inequality, let  $D$  be a divisor of rank at least 3 on  $B_{a,b,k}^{0,0}$ , and assume that  $D$  is  $v_a$ -reduced. By Lemma 4.1 and Theorem 5.5, we have  $\deg(D|_L) \geq a + b$ . We proceed by cases.

First, if  $\deg(D|_R) \geq a + b$ , then  $\deg(D) \geq 2a + 2b \geq k + b + 1$ .

Next, if  $b+1 \leq \deg(D|_R) < a+b$ , then  $D|_R$  has rank at most 2, so we must be able to pass chips across the  $k$  edges. It follows that  $\deg(D|_L) \geq k$ , so  $\deg(D) \geq k+b+1$ .

Third, if  $a \leq \deg(D|_R) < b+1$ , then  $D|_R$  has rank at most 1, so we must be able to pass chips across the  $k$  edges. Let  $D'$  be the divisor obtained by firing the subset of vertices  $V(L)$ . If  $E$  is an effective divisor with  $\deg(E|_L) = 1$  and  $\deg(E|_R) = 2$ , then  $D - E$  is equivalent to an effective divisor. It follows that  $D'|_L$  must have rank at least 1. Thus,  $\deg(D) \geq 2a + k \geq k + b + 1$ .

Finally, if  $\deg(D|_R) < a$ , then  $D|_R$  does not have positive rank, so we must be able to pass chips across the  $k$  edges. Again, let  $D'$  be the divisor obtained by firing the subset of vertices  $V(L)$ . If  $E$  is an effective divisor with  $\deg(E|_L) = 2$  and  $\deg(E|_R) = 1$ , then  $D - E$  is equivalent to an effective divisor. It follows that  $D'|_L$  must have rank at least 2. Thus,  $\deg(D) \geq k + b + 1$ .  $\square$

We can use these graphs to identify a large collection of sequences in  $\mathcal{G}_3$ .

**Theorem 6.4.** *If  $(x, y, z) \in \mathcal{C}_3$  satisfies  $y \geq x + 2$ ,  $\frac{3}{2}y \leq z + 2 \leq x + y$ , then  $(x, y, z) \in \mathcal{G}_3$ .*

*Proof.* If  $x$  and  $y$  are both even, consider the graph  $B_{a,b,k}^{0,0}$  with  $a = \frac{1}{2}x$ ,  $b = \frac{1}{2}(y-2)$ , and  $k = z - \frac{1}{2}y$ . Since  $y \geq x + 2$ , we have  $a \leq b$ . Since  $z \leq x + y - 2$ , we have  $k \leq 2a + b - 1$ , and since  $z \geq \frac{3}{2}y - 2$ , we have  $2b \leq k$ . By Theorem 6.3, the first 3 terms of the gonality sequence of  $B_{a,b,k}^{0,0}$  are  $(2a, 2b + 2, k + b + 1) = (x, y, z)$ .

Similarly, if  $x$  is even and  $y$  is odd, consider the graph  $B_{a,b,k}^{0,1}$  with  $a = \frac{1}{2}x$ ,  $b = \frac{1}{2}(y-1)$ , and  $k = z - \frac{1}{2}(y-1)$ . If  $x$  is odd and  $y$  is even, consider the graph  $B_{a,b,k}^{1,0}$  with  $a = \frac{1}{2}(x+1)$ ,  $b = \frac{1}{2}(y-2)$ , and  $k = z - \frac{1}{2}y$ . Finally, if  $x$  and  $y$  are both odd, consider the graph  $B_{a,b,k}^{1,1}$  with  $a = \frac{1}{2}(x+1)$ ,  $b = \frac{1}{2}(y-1)$ , and  $k = z - \frac{1}{2}(y-1)$ .  $\square$

**Corollary 6.4.1.** *If  $2a + 2 \leq b \leq 3a - 1$ ,  $b \neq 2a + 3$ , then  $(2a, b, 3a + 1) \in \mathcal{G}_3$ .*

*Proof.* If  $b = 2a + 2$ , then  $(2a, 2a + 2, 3a + 1) \in \mathcal{G}_3$  by Theorem 6.4. If  $2a + 4 \leq b$  and  $m = b - 2a - 1$ , then  $m \geq 3$ . By Lemma 3.5, the first 3 terms of the gonality sequence of the rook graph  $K_3 \square K_m$  are  $(2m, 3m - 1, 3m) \in \mathcal{G}_3$ . If  $n = 3a - b + 1$ , then  $n \geq 2$ . By Theorem 6.4, we have  $(2n, 2n + 2, 3n + 1) \in \mathcal{G}_3$ . Thus, by Theorem 1.1, we have  $(2m, 3m - 1, 3m) + (2n, 2n + 2, 3n + 1) = (2(n + m), 3m + 2n + 1, 3(m + n) + 1) = (2a, b, 3a + 1) \in \mathcal{G}_3$ .  $\square$

We now prove Theorem 1.5.

*Proof of Theorem 1.5.* If  $z \geq 2x$ , then this follows from Theorem 1.4. For the remainder of the proof, we therefore assume that  $z < 2x$ .

Next, consider the case where  $y = x + 2$ . By Theorem 6.4, if  $\frac{3}{2}x + 1 \leq z \leq 2x$ , then  $(x, x + 2, z) \in \mathcal{G}_3$ . For the remainder of the proof, we assume that  $y \geq x + 3$ .

Next, consider the cases where  $z \geq 2x - 2$ . If  $z = 2x - 1$ , then since  $\frac{3}{2}x + 2 \leq z$ , we have  $x \geq 6$ , and if  $z = 2x - 2$ , then since  $\frac{3}{2}x + 2 \leq z$ , we have  $x \geq 8$ . For  $x \leq 7$ , the possibilities are:  $(x, y, z) = (6, 8, 11), (6, 9, 11), (7, 9, 13), (7, 10, 13), (7, 11, 13)$ . All of these except for  $(7, 11, 13)$  are in  $\mathcal{G}_3$  by Theorem 6.4. To see that  $(7, 11, 13) \in \mathcal{G}_3$ , note that  $(3, 5, 6) \in \mathcal{G}_3$  by Theorem 1.4, and  $(4, 6, 7) \in \mathcal{G}_3$  by the third graph in the right column of [ADM<sup>+</sup>21, Table 4.1]. By Theorem 1.1,  $(3, 5, 6) + (4, 6, 7) =$

$(7, 11, 13) \in \mathcal{G}_3$ . For  $8 \leq x \leq y - 3$ , by Theorem 1.4, we have  $(x - 6, y - 8, 2x - 11), (x - 6, y - 8, 2x - 10) \in \mathcal{G}_3$ , and by Lemma 3.5, we have  $(6, 8, 9) \in \mathcal{G}_3$ . Thus, by Theorem 1.1,  $(x, y, 2x - 2), (x, y, 2x - 1) \in \mathcal{G}_3$  as well. For the remainder of the proof, we assume that  $z < 2x - 2$ .

We now consider the cases where  $3x \leq y + z$ . Let  $a = 2z - 3x$ ,  $b = 2x - z$ , and  $c = y + 3z - 6x + 1$ . Since  $z \geq \frac{3}{2}x + 2$ , we have  $a \geq 2$ . Since  $y \leq z - 2$ , we have  $c \leq 2a - 1$ , and since  $3x \leq y + z$ , we have  $c \geq a + 1$ . It follows from Theorem 1.4 that  $(a, c, 2a) \in \mathcal{G}_3$ . Similarly, since  $z \leq 2x - 3$ , we have  $b \geq 3$ . By Lemma 3.5, the first 3 terms of the gonality sequence of the rook graph  $K_3 \square K_b$  are  $(2b, 3b - 1, 3b) \in \mathcal{G}_3$ . Thus, by Theorem 1.1, we have

$$\begin{aligned} (a, c, 2a) + (2b, 3b - 1, 3b) &= (a + 2b, 3b + c - 1, 2a + 3b) \\ &= (x, y, z) \in \mathcal{G}_3. \end{aligned}$$

We now consider the remaining cases. Since  $y \geq x + 3$  and  $3x \geq y + z + 1$ , we see that  $z \leq 2x - 4$ . Similarly, since  $z \geq \frac{3}{2}x + 2$ , we have  $y \leq 3x - z - 1 \leq \frac{3}{2}x - 3 \leq z - 5$ . If  $a = 2z - 3x - 2$ , then  $a \geq 2$ , so by Theorem 1.4, we have  $(a, c, 2a) \in \mathcal{G}_3$  for all  $c$  in the range  $a + 1 \leq c \leq 2a - 1$ . If  $b = 2x - z + 1$ , then since  $z \leq 2x - 4$ , we have  $b \geq 5$ . Thus, by Corollary 6.4.1,  $(2b, d, 3b + 1) \in \mathcal{G}_3$  for all  $d$  in the range  $2b + 2 \leq d \leq 3b - 1$ ,  $d \neq 2b + 3$ . If  $a > 2$ , we can choose  $c$  and  $d$  so that  $c + d$  can take any integer value in the range

$$x + 3 = (a + 1) + (2b + 2) \leq c + d \leq (2a - 1) + (3b - 1) = z - 3.$$

If  $a = 2$ , then  $c$  must be 3, and we cannot choose  $d$  so that  $c + d = 2b + 3$ . However, in this case we have  $y = x + 4$ , and the sequence  $(x, x + 4, z)$  is in  $\mathcal{G}_3$  by Theorem 1.4. Otherwise, since  $x + 3 \leq y \leq z - 5$ , we may choose  $c$  and  $d$  so that  $c + d = y$ . Thus, by Theorem 1.1, we have

$$\begin{aligned} (a, c, 2a) + (2b, d, 3b + 1) &= (a + 2b, c + d, 2a + 3b + 1) \\ &= (x, y, z) \in \mathcal{G}_3. \end{aligned}$$

□

## 7. GONALITY SEQUENCES OF ALGEBRAIC CURVES

By Theorem 1.2, the semigroup  $\mathcal{G}_r$  is not finitely generated for any  $r \geq 2$ . Indeed, if  $\vec{x} \in \mathcal{G}_r$  and  $x_{i+1} = x_i + 1$  for some  $i$ , then  $\vec{x}$  is irreducible. As we have seen in Theorem 1.1, if  $\vec{x} \in \mathcal{G}_r$  is reducible, then there exists graphs of arbitrarily large genus with gonality sequence  $\vec{x}$ . Irreducible elements of  $\mathcal{G}_r$  are more mysterious. In this final section, we study the gonality sequences of algebraic curves  $C$  such that  $\text{gon}_r(C) = \text{gon}_{r-1}(C) + 1$  for some  $r$ . These curves have interesting properties, and we ask whether graphs with the same gonality sequence exhibit the same properties.

**Lemma 7.1.** *Let  $C$  be a smooth curve and let  $r$  be a positive integer. If  $\text{gon}_r(C) = \text{gon}_{r-1}(C) + 1$ , then  $C$  is isomorphic to a smooth curve of degree  $\text{gon}_r(C)$  in  $\mathbb{P}^r$ .*

*Proof.* Let  $\mathcal{L}$  be a line bundle on  $C$  of rank  $r$  and degree  $\text{gon}_r(C)$ . Let  $\varphi_{\mathcal{L}}: C \rightarrow \mathbb{P}^r$  be the map given by the complete linear series of  $\mathcal{L}$ , let  $B = \varphi_{\mathcal{L}}(C)$  be the image, let  $\nu: \tilde{B} \rightarrow B$  be the normalization of  $B$ , and let  $\varphi: C \rightarrow \tilde{B}$  be the induced map.

We first show that the map  $\varphi$  has degree 1, and is therefore an isomorphism. For any point  $p \in \tilde{B}$ , the line bundle  $\nu^* \mathcal{O}_B(1)(-p)$  has rank at least  $r - 1$  on  $\tilde{B}$ .

Thus,  $\varphi^* \nu^* \mathcal{O}_B(1)(-p)$  has rank at least  $r - 1$  on  $C$ . But

$$\deg(\varphi^* \nu^* \mathcal{O}_B(1)(-p)) = \deg(\mathcal{L}) - \deg(\varphi) = \text{gon}_r(C) - \deg(\varphi).$$

Since  $\text{gon}_{r-1}(C) = \text{gon}_r(C) - 1$ , it follows that  $\deg(\varphi) = 1$ .

We now show that the map  $\nu$  is an isomorphism. If not, then  $B$  is singular, and projection from a singular point yields a nondegenerate map to  $\mathbb{P}^{r-1}$  of degree at most  $\text{gon}_r(C) - 2$ . Since  $\text{gon}_{r-1}(C) = \text{gon}_r(C) - 1$ , this is again impossible. It follows that the map  $\varphi_{\mathcal{L}}$  is an isomorphism onto its image.  $\square$

Lemma 7.1 has several consequences.

**Lemma 7.2.** *Let  $C$  be a curve with the property that  $\text{gon}_2(C) = \text{gon}_1(C) + 1$ . Then the genus of  $C$  is  $g = \binom{\text{gon}_1(C)}{2}$  and, for any  $r < g$ , we have*

$$\text{gon}_r(C) = k \cdot \text{gon}_2(C) - h,$$

where  $k$  and  $h$  are the uniquely determined integers with  $1 \leq k \leq \text{gon}_2(C) - 3$ ,  $0 \leq h \leq k$ , such that  $r = \frac{k(k+3)}{2} - h$ .

In particular, if  $\text{gon}_1(C) \geq 2$ , then  $\text{gon}_3(C) = 2 \cdot \text{gon}_1(C)$ .

*Proof.* By Lemma 7.1,  $C$  is isomorphic to a smooth plane curve of degree  $\text{gon}_2(C)$ . The genus of such a curve is  $\binom{\text{gon}_1(C)}{2}$ , and its gonality sequence is computed in [Noe82, Har86].  $\square$

**Lemma 7.3.** *Let  $C$  be a curve with the property that  $\text{gon}_3(C) = \text{gon}_2(C) + 1$ , and let  $m = \lceil \frac{1}{2} \text{gon}_2(C) \rceil$ . Then the genus of  $C$  is at most  $m \cdot \text{gon}_3(C) - m(m + 2)$ . Moreover, if equality holds, then*

$$\text{gon}_1(C) = \left\lceil \frac{1}{2} (\text{gon}_3(C) - 1) \right\rceil.$$

*Proof.* By Lemma 7.1,  $C$  is isomorphic to a smooth space curve of degree  $\text{gon}_3(C)$ . By [Har77, Theorem IV.6.7], the genus of  $C$  is at most  $m \cdot \text{gon}_3(C) - m(m + 2)$ , and if equality holds, then  $C$  is contained in a quadric surface. A tangent plane to the quadric meets it in two lines, which meet the curve  $C$  in  $\text{gon}_3(C)$  points. It follows that one of these two lines must meet  $C$  in at least  $\frac{1}{2} \text{gon}_3(C)$  points, and projection from this line yields a nondegenerate map to  $\mathbb{P}^1$  of degree at most  $\frac{1}{2} \text{gon}_3(C)$ . Thus,

$$\text{gon}_1(C) \leq \frac{1}{2} \text{gon}_3(C).$$

On the other hand, we have

$$\text{gon}_1(C) \geq \frac{1}{2} \text{gon}_2(C) = \frac{1}{2} (\text{gon}_3(C) - 1),$$

and the result follows.  $\square$

**Question 7.4.** *Let  $G$  be a graph with the property that  $\text{gon}_3(G) = \text{gon}_2(G) + 1$ , and let  $m = \lceil \frac{1}{2} \text{gon}_2(G) \rceil$ .*

- (1) *Must the genus of  $G$  be at most  $m \cdot \text{gon}_3(G) - m(m + 2)$ ?*
- (2) *If equality holds, is it true that*

$$\text{gon}_1(C) = \left\lceil \frac{1}{2} (\text{gon}_3(C) - 1) \right\rceil?$$

**Lemma 7.5.** *Let  $C$  be a curve. If  $\text{gon}_3(C) \leq \text{gon}_1(C) + 3$ , then  $\text{gon}_1(C) \leq 6$  and  $\text{gon}_1(C) \neq 5$ .*

*Proof.* Suppose that  $\text{gon}_3(C) \leq \text{gon}_1(C) + 3$ . Then either  $\text{gon}_2(C) = \text{gon}_1(C) + 1$  or  $\text{gon}_3(C) = \text{gon}_2(C) + 1$ . If  $\text{gon}_2(C) = \text{gon}_1(C) + 1$ , then by Lemma 7.2,

$$2 \text{gon}_1(C) = \text{gon}_3(C) \leq \text{gon}_1(C) + 3,$$

hence  $\text{gon}_1(C) \leq 3$ .

If  $\text{gon}_3(C) = \text{gon}_2(C) + 1$ , then by Lemma 7.1,  $C$  is isomorphic to a smooth space curve of degree  $\text{gon}_3(C)$ . By [HS11, Proposition 4.1], if  $\text{gon}_3(C) \geq 10$ , then  $\text{gon}_3(C) \geq \text{gon}_1(C) + 4$ , hence we must have  $\text{gon}_3(C) \leq 9$ .

It remains to show that, if  $\text{gon}_3(C) = 8$ , then  $\text{gon}_1(C) \leq 4$ . Since every curve of genus 6 or less has gonality at most 4, we may assume that  $C$  has genus at least 7. By Lemma 7.3, if  $\text{gon}_3(C) = 8$ , then  $C$  has genus at most 9, and if it is equal to 9, then  $\text{gon}_1(C) \leq 4$ . If  $C$  has genus 8, then  $\mathcal{O}_C(2)$  has degree  $16 > 2 \cdot 8 - 2$ , hence  $h^0(C, \mathcal{O}_C(2)) = 9$ . It follows that  $C$  is contained in a quadric surface, and again,  $\text{gon}_1(C) \leq \frac{1}{2} \text{gon}_3(C) = 4$ . Finally, if  $C$  has genus 7, then by Riemann-Roch,  $K_C \otimes \mathcal{O}_C(-1)$  has degree 4 and rank 1, hence  $\text{gon}_1(C) \leq 4$ .  $\square$

**Question 7.6.** *Let  $G$  be a graph. If  $\text{gon}_1(G) = 5$  or  $\text{gon}_1(G) \geq 7$ , does it follow that  $\text{gon}_3(G) \geq \text{gon}_1(G) + 4$ ?*

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