

Solutions to Problem Set 7

5.6.1

$\varphi_1 = \alpha$ $\varphi_2 = \beta$ with $(\alpha, \alpha) = 1 = (\beta, \beta)$
 $B = \{\tilde{\alpha}, \tilde{\beta}\}$ ONB of $\mathbb{C}^2$ $(\alpha, \beta) = 0$
 and write $\tilde{\alpha} = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ $\tilde{\beta} = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ in $\mathbb{C}^2$

a) $S_x \alpha = \frac{1}{2} \beta$
 $S_x \beta = \frac{1}{2} \alpha \Rightarrow$ matrix rep. of S_x in B basis

$$[S_x] = \begin{pmatrix} (\alpha, S_x \alpha) & (\alpha, S_x \beta) \\ (\beta, S_x \alpha) & (\beta, S_x \beta) \end{pmatrix}$$

The order of the elements is arbitrary

$$= \begin{pmatrix} 0 & \frac{1}{2} \\ \frac{1}{2} & 0 \end{pmatrix}$$

$$[S_x] \tilde{\alpha} = \tilde{\beta} \text{ etc.}$$

$$S_y \alpha = \frac{i}{2} \beta$$

$$S_y \beta = -\frac{i}{2} \alpha$$

$$[S_y] = \begin{pmatrix} 0 & -i/2 \\ +i/2 & 0 \end{pmatrix}$$

$$S_z \alpha = \frac{1}{2} \alpha$$

$$S_z \beta = -\frac{1}{2} \beta$$

$$[S_z] = \begin{pmatrix} \frac{1}{2} & 0 \\ 0 & -\frac{1}{2} \end{pmatrix}$$

The 3 2×2 matrices are the spin- $\frac{1}{2}$ matrices.
 (these generate the Lie Algebra of $SU(2)$)

b) Change of basis: $\varphi'_1 = c(\alpha + \beta)$ $\varphi'_2 = c(\alpha - \beta)$
 Make $\{\varphi'_1, \varphi'_2\}$ an ONB

$$\|\varphi'_1\|^2 = 2c^2 = \|\varphi'_2\|^2 \text{ so } c = \frac{1}{\sqrt{2}}.$$

$$\text{and } (\varphi'_1, \varphi'_2) = |c|^2 (\alpha + \beta, \alpha - \beta)$$

$$= |c|^2 (1 - 1) = 0 \text{ so orthogonal.}$$

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$$\varphi'_1 = \frac{1}{\sqrt{2}}(\varphi_1 + \varphi_2) \quad \varphi'_2 = \frac{1}{\sqrt{2}}(\varphi_1 - \varphi_2)$$

c) Unitary map $\{\varphi_1, \varphi_2\} \xrightarrow{S} \{\varphi'_1, \varphi'_2\}$

$$\varphi'_i = \sum_{j=1}^2 S_{ij} \varphi_j$$

$$S = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{pmatrix}$$

$$S^* = S^T = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{pmatrix} = S^{-1}$$

Compute $[S_m]_{\mathcal{B}'} = S [S_m]_{\mathcal{B}} S^{-1}$
 $m = x, y, z$

$$S'_x = S_z$$

$$S'_y = -S_y$$

$$S'_z = S_x$$

PS7-3

G.2.8.

$$A = \begin{pmatrix} 2 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix}$$

$A^x = A$ all ev's real

eigenvalues

$$\begin{aligned} p_A(\lambda) &= \det(A - \lambda I) = \begin{vmatrix} 2-\lambda & 0 & 0 \\ 0 & 1-\lambda & 1 \\ 0 & 0 & 1-\lambda \end{vmatrix} = (2-\lambda) \begin{vmatrix} 1-\lambda & 1 \\ 0 & 1-\lambda \end{vmatrix} \\ &= (2-\lambda)((1-\lambda)^2 - 1) = (2-\lambda)(\lambda^2 - 2\lambda) \\ &= -\lambda(\lambda-2)^2 \end{aligned}$$

$$\sigma(A) = \{0, 2\}$$

multiplicity of 0 is 1
multiplicity of 2 is 2

eigenvectors

$$\underline{\lambda=0} \quad \begin{pmatrix} 2 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 0 & 1 \end{pmatrix} \begin{pmatrix} a \\ b \\ c \end{pmatrix} = \begin{pmatrix} 2a \\ b+c \\ b+c \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \quad a=0 \quad b=-c$$

$$V_1 = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \\ -1 \end{pmatrix} \quad \text{normalized (easy to check that } A V_1 = 0)$$

$$\underline{\lambda=2} \quad \begin{pmatrix} 0 & 0 & 0 \\ 0 & -1 & 1 \\ 0 & 0 & -1 \end{pmatrix} \begin{pmatrix} a \\ b \\ c \end{pmatrix} = \begin{pmatrix} 0 \\ -b+c \\ b-c \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \\ 0 \end{pmatrix} \quad \begin{matrix} a \text{ undetermined} \\ b=c \end{matrix}$$

$$\text{General: } \begin{pmatrix} a \\ b \\ b \end{pmatrix} \quad \begin{matrix} a=0 \\ b=1 \end{matrix} \quad \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} \quad A \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix} = 2 \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$$

$$\text{and } a=1, b=0: \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \quad A \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = \begin{pmatrix} 2 \\ 0 \\ 0 \end{pmatrix} = 2 \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

PS7-4

$$v_2 = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$$

$$v_3 = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}$$

note $(v_i, v_j) = \delta_{ij}$ so $\{v_1, v_2, v_3\}$ is an ONB of eigenvectors.

Comment

Diagonalize A:

$$\begin{pmatrix} 0 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{pmatrix} = S \begin{pmatrix} 2 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} S^{-1}$$

where

$$S = \begin{pmatrix} 0 & \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \\ 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ 1 & 0 & 0 \end{pmatrix}$$

$$S^{-1} = \begin{pmatrix} 0 & 0 & 1 \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \end{pmatrix}$$

$$\text{check: } SS^{-1} = \begin{pmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{pmatrix} \checkmark$$

Then

$$SA = \begin{pmatrix} 0 & \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \\ 0 & \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ 1 & 0 & 0 \end{pmatrix} \begin{pmatrix} 2 & 0 & 0 \\ 0 & 1 & 1 \\ 0 & 1 & 1 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \sqrt{2} & \sqrt{2} \\ 2 & 0 & 0 \end{pmatrix}$$

and

$$SAS^{-1} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & \sqrt{2} & \sqrt{2} \\ 2 & 0 & 0 \end{pmatrix} \begin{pmatrix} 0 & 0 & 1 \\ \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \\ -\frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} & 0 \end{pmatrix} = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 2 & 0 \\ 0 & 0 & 2 \end{pmatrix} \checkmark$$

(PS7-5)

6.4.1

Similarity transf. G invertible
 $A \rightarrow GAG^{-1}$

$$\det(GAG^{-1} - \lambda I) = \det(GAG^{-1} - \lambda GG^{-1})$$
$$= \det G(A - \lambda I)G^{-1}$$

$$= \det G \cdot \det(A - \lambda I) \cdot \det G^{-1}$$

$$= (\det G)(\det G)^{-1} \cdot \det(A - \lambda I)$$

so the characteristic polynomials satisfy:

$$P_A(\lambda) = P_{GAG^{-1}}(\lambda)$$

and have the same zeros $\Rightarrow$ ev's of A
= ev's of GAG^{-1}

Comments

$$\text{Tr } GAG^{-1} = \text{Tr } G^{-1}GA = \text{Tr } A$$

by cyclicity of the trace: $\text{Tr } AB = \text{Tr } BA$

$$\text{and } \det GAG^{-1} = \det A$$

so both the trace & det of A are invariant

under similarity transformations.

PS 7-b

6.4.7

A, B self-adj and they have the same ev's:

There is a unitary S so $A_{\text{diag}} = SAS^{-1}$
where

$$A_{\text{diag}} = \begin{pmatrix} \lambda_1(A) & 0 & 0 & \cdots & 0 \\ 0 & \lambda_2(A) & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \cdots & 0 & \lambda_n(A) \end{pmatrix}$$

and there is a unitary T
so

$$B_{\text{diag}} = TBT^{-1} = A_{\text{diag}}$$

$$\begin{aligned} \text{Then: } B &= T^{-1} B_{\text{diag}} T = T^{-1} A_{\text{diag}} T \\ &= (T^{-1} S^{-1}) A (ST) \end{aligned}$$

$$\text{and } (ST)^{-1} = T^{-1} S^{-1} = T^* S^* = (ST)^*$$

so $U = ST$ is unitary and

$$B = U^* A U$$

showing that A & B are unitarily equivalent.

6.5.3.

 U unitary

$$\text{If } Uv = \lambda v \text{ then } (Uv, Uv) = |\lambda|^2 \|v\|^2$$

$$\text{But } (Uv, Uv) = (v, U^*Uv) = \|v\|^2$$

$$\text{So } \|v\|^2 = |\lambda|^2 \|v\|^2 \Rightarrow |\lambda| = 1$$

The eigenvalues of a unitary matrix have modulus 1:

$$\lambda_j = e^{i\phi_j} \text{ for } \phi_j \in \mathbb{R}.$$