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# Large-Time Asymptotics for the KP I Equation

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## The Kadomtsev-Petviashvili Equation

The KP I and KP II equations describe nonlinear waves of long wavelength propagating in the *x*-direction with transverse oscillation.

$$\begin{cases} (u_t + 6uu_x + u_{xxx})_x = 3\lambda u_{yy} \\ u(0, x, y) = u_0(x, y) \end{cases}$$
(1)

The KP I equation corresponds to  $\lambda = 1$  and the KP II equation corresponds to  $\lambda = -1$ . Both equations are completely integrable.

- The inverse scattering map for KP I involves a *nonlocal Riemann-Hilbert problem*
- The inverse scattering map for KP II involves a  $\overline{\partial}$  problem

Our goal is to obtain large-time asymptotics of solutions for KP I with small initial data. Our work builds on Xin Zhou's analysis of inverse scattering for KP I (*Comm. Math. Phys.* 1990). We will obtain different spatial asymptotics in different space-time régimes whose origin may be understood through the linearized KP I equation.

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#### **Inverse Scattering**

The KP I equation is the consistency condition for the system (Dryuma 1974)

$$i\psi_y + \psi_{xx} + u\psi = 0$$
  
$$\psi_t + 4\psi_{xxx} + 6u\psi_x + 3\left(u_x - i\int_{-\infty}^x u_y \, dx'\right)\psi = 0$$

The first equation defines a scattering problem, and the second gives the time evolution of scattering data.

The *direct scattering map* takes a function  $u \in S(\mathbb{R}^2)$  to scattering data  $T^{\pm}(k, l)$ . If u = u(t, x, y) solves KP I, then the scattering data evolves linearly in time:

$$T^{\pm}(k,l,t) = e^{4i(l^3 - k^3)t} T^{\pm}(k,l)$$

The *inverse scattering map* takes time-evolved scattering data to the solution u = u(t, x, y) in two steps:

- (1) The time-evolved scattering data define a nonlocal Riemann-Hilbert problem for a function  $\mu^{l}(k, x; y, t)$
- (2) The solution u(t, x, y) is recovered from the scattering data and  $\mu^l$  via a reconstruction formula

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#### **Reconstruction Formula**

Given the scattering data  $T^{\pm}(k, l)$  and the scattering solution  $\mu^{l}(k, x; y, t)$ , we recover u(t, x, y) via the small-data reconstruction formula

$$u(t, x, y) = \frac{1}{\pi} \frac{\partial}{\partial x} \left( \int e^{itS(k, l; \zeta, \eta)} f(k, l) \mu^l(l, x; y, t) \, dl \, dk \right)$$
(2)

where

$$\begin{split} \zeta &= x/t, \quad \eta = y/t & \text{"slow" variables} \\ f(k,l) &= T^+(k,l) + T^-(k,l), & \text{scattering data} \\ S(k,l;\zeta,\eta) &= (l-k)\zeta - (l^2 - k^2)\eta + 4(l^3 - k^3) & \text{oscillatory phase} \end{split}$$

and  $\mu^l(k, x; y, t)$  solves a nonlocal Riemann-Hilbert problem *Remark*: The functions  $T^{\pm}$  are "triangular":

$$T^+(k,l) = 0, \quad l < k$$
  $T^-(k,l) = 0, \quad l > k$ 

which means the amplitude for (2) is not smooth. The map  $u \mapsto T^{\pm}$  are continuous maps into  $L^2(\mathbb{R}^2)$  for *u* of "small norm"

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For 
$$u \in \mathcal{S}(\mathbb{R}^2)$$
, let

$$\widetilde{u}(l,y) = \frac{1}{\sqrt{2\pi}} \int e^{-ilx} u(x,y) \, dx$$

#### Theorem

Let 
$$u_0 \in \mathcal{S}(\mathbb{R}^2)$$
 with  $\|\tilde{u}\|_{L^1} = c < \sqrt{2\pi}$ , and  $\|\tilde{u}\|_{L^2_y L^{2,-1}_l} < \frac{1}{4}(1-c)$ .  
Fix  $\delta > 0$ . Then

$$u(t,x,y) \underset{t \to \infty}{\lesssim} \begin{cases} t^{-1}, & 12\zeta - \eta^2 < -\delta, \\ t^{-2/3} & |12\zeta - \eta^2| < \delta, \\ o(t^{-1}), & 12\zeta - \eta^2 > \delta. \end{cases}$$

We can considerably relax regularity and obtain results for  $u_0$  in certain weighted spaces. Our assumptions imply that  $T^{\pm} \in L^2(\mathbb{R}^2)$ 

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#### Regularity Assumptions

Molinet, Saut, and Tzvetkov (2002) proved global well-posedness of KPI for initial data in the space

$$Z = \{ u \in L^2(\mathbb{R}^2) : \|u\|_Z < \infty \}$$

where

$$\|u\|_{Z} = \|u\|_{L^{2}(\mathbb{R}^{2})} + \|u_{xxx}\|_{L^{2}(\mathbb{R}^{2})} + \|u_{y}\|_{L^{2}(\mathbb{R}^{2})} + \|u_{xy}\|_{L^{2}(\mathbb{R}^{2})}$$
(3)  
+  $\|\partial_{x}^{-1}u_{y}\|_{L^{2}(\mathbb{R}^{2})} + \|\partial_{x}^{-2}u_{yy}\|_{L^{2}(\mathbb{R}^{2})}$ 

We can prove our results for a space  $Z_w$ , continuously embedded in Z, which imposes additional regularity and decay constraints

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#### Remarks

There is extensive discussion in the PDE literature on large-time asymptotics of  $u_x$ , but ours appears to be the first result on pointwise asymptotics of u for small data and large times. Papers that have influenced our work include:

- Hayashi, Naumkin, Saut: Asymptotics for large time of global solution to the generalized KP equation, *Comm. Math. Phys.*, 1999
- Hayashi, Naumkin: Large-time asymptotics for the KP equation, *Comm. Math. Phys.*, 2014
- Harrop-Griffiths, Ifrim, Tataru, The Lifespan of Small solutions to the KP-I, *International Math. Research Notices*, 2017

There are several key papers using inverse scattering techniques to find large-time asymptotics for KP I and KP II. These are

- Manakov, Santini, Takhtajan, Asymptotic behavior of the solutions of the KP equation (two-dimensional KdV equation), Physics Letters 75A (6), 1980
- O. M. Kiselev, Asymptotic behavior of a solution for KP II equation, Proc. Steklov Inst. Math. (Approximation Theory, Asymptotic Expansions, 2001)

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### Previous Inverse Scattering Results - I

Manakov, Santini, and Takhtajan (1980) studied asymptotics of KPI using stationary phase and asymptotics on the solution to a Gelfand-Levitan-Marchenko integral equation. In the region  $12\zeta - \eta^2 < 0$ , they claimed

$$u(t, x, y) \underset{t \to \infty}{\sim} \frac{4}{t} \psi_{\zeta}(\zeta, \eta) \operatorname{Re} \left( K(\zeta, \zeta, \eta) e^{it\varphi(\zeta, \eta)} + \text{c.c.} \right)$$

where

$$\varphi(\xi,\eta) = \frac{1}{108}(\eta^2 - 12\zeta)^{\frac{3}{2}}$$

and *K* is derived from the solution to the Gelfand-Levitan-Marchenko equation

These authors only consider the region  $\eta^2 - 12\zeta > 0$  and do not treat the case of degenerate stationary phase

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#### Previous Inverse Scattering Results - II

Kiselev determined asymptotics of solutions to the KP II equation for small data (constraints are imposed on the scattering data) in three different spatial regions:

$$u(t, x, y) \underset{t \to \infty}{\sim} \begin{cases} -8t^{-1} \operatorname{Re} \left( e^{-11itr} \frac{\pi}{12ir} f(r + i\eta/12) + \text{c.c.} \right) \\ +o(t^{-1}), & -(12\xi + \eta^2)t^{\frac{1}{3}} \gg 1 \\ o(t^{-1}), & (12\zeta + \eta^2)t^{\frac{1}{3}} \gg 1 \\ 8it^{-1}\sqrt{\pi}f(i\eta/12)F(z) + o(t^{-1}), m & |12\xi + 12\eta^2| \ll 1 \end{cases}$$

where

$$r = \sqrt{-\eta^2 - 12\zeta}, \quad z = 8t^{\frac{2}{3}} \left(\eta^2 / 12 + \zeta\right)$$

See the review paper by Kiselev, Journal of Math. Sciences 138 (6), 2006, §3.3

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#### A One-Dimensional Model Problem

Consider the initial value problem

$$\begin{cases} u_t(x,t) = u_{xxx}(x,t) \quad (x,t) \in \mathbb{R} \times \mathbb{R}^+ \\ u(x,0) = f(x) \end{cases}$$

whose solution by Fourier analysis is

$$u(x,t) = \frac{1}{\sqrt{2\pi}} \int e^{it\varphi(\xi;v)} \widehat{f}(\xi) \, d\xi, \quad \varphi(\xi;v) = \xi v - \xi^3$$

where v = x/t. The phase function  $\varphi(\xi; v)$  has

- Nondegenerate critical points at  $\xi = \pm (v/3)^{\frac{1}{2}}$  if v > 0
- No critical points if v < 0
- A degenerate critical point at  $\xi = 0$  if v = 0

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#### A One-Dimensional Model Problem

$$u(t,x) = \frac{1}{\sqrt{2\pi}} \int e^{it\varphi(\xi;v)} \widehat{f}(\xi) d\xi, \quad \varphi_{\xi}(\xi;v) = v - 3\xi^2$$

• For v > 0, we can use stationary phase methods to obtain (with  $\xi_0 = (v/3)^{\frac{1}{2}}$ )

$$u(vt,t) = 2\sqrt{\frac{2\pi}{6\xi_0 t}} \operatorname{Re}\left(\widehat{f}(\xi_0)e^{i\varphi(\xi_0;v) - i\pi/4}\right)$$

• For *v* < 0 we can use integration by parts to obtain

 $u(vt,t) \sim o(t^{-n})$  for any  $n \in \mathbb{N}$ 

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#### A One-Dimensional Model Problem

$$u(t,x) = \frac{1}{\sqrt{2\pi}} \int e^{it\varphi(\xi;v)} \widehat{f}(\xi) d\xi, \quad \varphi(\xi;v) = \xi v - \xi^3$$

• For  $v \sim 0$ ,

$$u(vt,t) = (3t)^{-\frac{1}{3}} \int \widehat{f}(\xi/(3t)^{\frac{1}{3}}) e^{-i(\xi w + \xi^3/3)} d\xi$$

where 
$$w = -t^{\frac{2}{3}}v/3^{\frac{1}{3}}$$
.

Recalling that

$$\operatorname{Ai}(z) = \frac{1}{2\pi} \int e^{i(zs+s^3/3)} \, ds$$

we have

$$u(vt,v) \sim \frac{2\pi}{(3t)^{\frac{1}{3}}} \widehat{u_0}(0) \operatorname{Ai}\left(-\frac{t^{\frac{2}{3}}v}{3^{\frac{1}{3}}}\right)$$

as  $t \to \infty$  with  $t^{\frac{2}{3}}v$  fixed

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#### A Two-Dimensional Model Problem

$$\begin{cases} (v_t + v_{xxx})_x = 3\lambda v_{yy} \\ v(0, x, y) = v_0(x, y) \end{cases}$$
(4)

The linear problem has a solution by Fourier analysis:

$$v(t, x, y) = \frac{1}{2\pi} \int e^{i(p_1 x + p_2 y)} e^{it(p_1^3 + 3\lambda p_1^{-1} p_2^2)} \hat{v}_0(p_1, p_2) \, dp_1 \, dp_2$$

For  $\lambda = 1$  (KP I) introduce "slow" variables  $\zeta = x/t$ ,  $\eta = y/t$  and let

$$p_1 = l - k$$
,  $p_2 = -(l^2 - k^2)$ 

we get

$$v(t, x, y) = \frac{1}{\pi} \int e^{itS(k, l; \zeta, \eta)} \hat{v}_0(l - k, k^2 - l^2) |l - k| \, dl \, dk$$

where

$$S(k,l;\zeta,\eta) = (l-k)\zeta - (l^2 - k^2)\eta + 4(l^3 - k^3)$$

is a phase function with four stationary points

$$(k,l) = \frac{1}{12} (\eta \pm r, \eta \pm r), \qquad r = \sqrt{\eta^2 - 12\zeta}$$

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## A Two-Dimensional Model Problem

$$v(t, x, y) = \frac{1}{\pi} \int e^{itS(k,l;\zeta,\eta)} \widehat{v}_0(l-k,k^2-l^2) |l-k| \, dl \, dk$$
  
$$S(k,l;\zeta,\eta) = (l-k)\zeta - (l^2-k^2)\eta + 4(l^3-k^3)$$

Critical points:

$$(k,l) = \frac{1}{12} \left( \eta \pm r, \eta \pm r \right), \qquad r = \sqrt{\eta^2 - 12\zeta}$$

Make a change of variables

$$k \rightarrow k - \eta/12, \quad l \rightarrow l - \eta/12$$

Then

$$v(t, x, y) = \frac{1}{\pi} \int e^{12itS(k,l;a)} b(k,l) |l-k| \, dl \, dk$$

where

$$S(k,l;a) = a(l-k) + \frac{1}{3}(l^3 - k^3), \qquad a = 12\xi - \eta^2$$

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## A Two-Dimensional Model Problem

$$v(t, x, y) = \frac{1}{\pi} \int e^{12itS(k, l; a)} b(k, l) |l - k| \, dl \, dk$$

where

$$S(k,l;a) = a(l-k) + \frac{1}{3}(l^3 - k^3), \qquad a = 12\xi - \eta^2$$

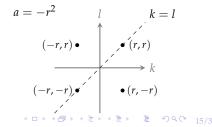
Note that

$$\frac{\partial S}{\partial k} = -(a+k^2) \qquad \qquad \frac{\partial S}{\partial l} = a+l^2$$

so, if  $a = -r^2$ , *S* has critical points at  $(\pm r, \pm r)$ 

We have three regimes of asymptotic behavior:

- *a* < 0: nondegenerate critical points
- *a* = 0: degenerate critical point
- *a* > 0: no critical points



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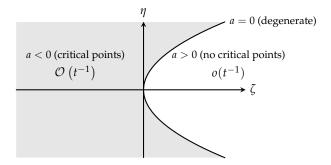
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Spatial Asymptotics: Set  $a = 12\zeta - \eta^2$  and recall that  $\xi = x/t$ ,  $\eta = y/t$ .

We will find that asymptotic behavior of the solution is as follows:



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#### Asymptotics with Ai

Perhaps special functions provide an economical and shared culture analogous to books: places to keep knowledge in, so that we can use our heads for better things.

> Malcolm Berry, "Why are special functions special?" Physics Today, **54**, 2001

As we have seen, the Airy function

$$\operatorname{Ai}(z) = \frac{1}{2\pi} \int_{-\infty}^{\infty} e^{i(zs+s^3/3)} \, ds$$

arises naturally in the study of oscillatory integrals with degenerate stationary phase.

The Airy function satisfies the relations

$$\begin{aligned} |\operatorname{Ai}(x)| &\leq \frac{C}{(1+|x|)^{\frac{1}{4}}}, & -\infty < x < \infty \\ \operatorname{Ai}(-x) &\sim_{x \to \infty} \frac{1}{\sqrt{\pi}} \left( \cos\left(\frac{2}{3}x^{\frac{3}{2}} - \pi/4\right) + \mathcal{O}\left(x^{-\frac{3}{2}}\right) \right) \end{aligned}$$

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#### Asymptotics - Stationary Phase

Consider the integral (for a < 0)

$$v(t, x, y) = \frac{1}{\pi} \int_{-\infty}^{\infty} \int_{k}^{\infty} e^{12itS(k,l;a)} b(k,l)(l-k)\psi(k,l) \, dl \, dk.$$

(here  $\psi(k, l) = \varphi(k)\varphi(l)$  localizes to the neighborhood of a critical point). If  $S(k; a) = ak + k^3/3$ ,  $S(l; a) = al + l^3/3$  then

$$v(t, x, y) = \frac{1}{\pi} \int f(k) g(k) dk = \frac{1}{\pi} \int \widehat{f}(-\xi) \widehat{g}(\xi) d\xi$$

where

$$f(k) = e^{-12itS(k,a)}, \quad g(k) = \int_{k}^{\infty} e^{12itS(l;a)} b(k,l)\psi(k,l)(l-k) \, dl$$

so that

$$\widehat{f}(\xi) = \frac{\sqrt{2}}{(12t)^{1/3}} \operatorname{Ai}((12t)^{\frac{2}{3}}(a - \xi/12t))$$

We obtain an  $\mathcal{O}(t^{-1})$  estimate by combining the time-decay of f with careful estimates on the time decay of  $\hat{g}$ .

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#### Asymptotics - No Stationary Phase

Consider again (now for a > 0)

$$v(t,x,y) = \frac{1}{\pi} \int_{-\infty}^{\infty} \int_{k}^{\infty} e^{12itS(k,l;a)} b(k,l)(l-k) \, dl \, dk.$$

In the absence of stationary phase points, we may integrate by parts to obtain

$$v(t,x,y) = \frac{1}{\pi t} \left( \int_{-\infty}^{\infty} e^{-12itS(k;a)} \int_{k}^{\infty} e^{12itS(l;a)} A(k,l;a) dl \right)$$

for

$$A(k,l;a) = \frac{\partial}{\partial l} \left( \frac{(l-k)b(k,l)}{12(a+l^2)} \right)$$

and use a density argument to show that the integral is o(1) as  $t \to \infty$ .

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#### Asymptotics - Degenerate Stationary Phase

Suppose now that  $a \sim 0$ . We repeat the argument used for nondegenerate stationary phase with some modifications. As before we write

$$v(t, x, y) = \frac{1}{\pi} \int_{-\infty}^{\infty} \int_{k}^{\infty} e^{12itS(k,l;a)} b(k,l)(l-k)\varphi(k,l) \, dl \, dk$$

as

$$v(t, x, y) = \frac{1}{\pi} \int f(k)g(k) \, dk = \frac{1}{\pi} \int \widehat{f}(-\xi)\widehat{g}(\xi) \, d\xi$$

with

$$f(k) = e^{-12itS(k,a)}, \quad g(k) = \int_{k}^{\infty} e^{12itS(l;a)} \varphi(k,l)b(k,l)(l-k) dl$$

but we now only have

$$\widehat{f}(\xi) | \lesssim t^{-\frac{1}{3}}$$

owing to degenerate stationary phase. We obtain an  $O\left(t^{-\frac{2}{3}}\right)$  estimate on I(t, x, y) in this case.

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#### Connections

(1) The map

$$v_0 \to \widehat{v}_0(l-k,k^2-l^2)$$

is precisely the linearization of the KP I scattering transform at the 0 potential

(2) The oscillatory integral

$$v(t, x, y) = \frac{1}{\pi} \int e^{itS(k, l; \zeta, \eta)} \hat{v}_0(l - k, k^2 - l^2) |l - k| \, dl \, dk$$

is precisely the linearization of the KP I reconstruction formula at the 0 potential, with the correct phase function

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#### Nonlinear Problem - Reconstruction Formula

$$u(t, x, y) = \frac{1}{\pi} \int e^{itS(k,l;\zeta,\eta)} i(l-k) (T^+(k,l) + T^-(k,l)) \mu^l(l, x; y, t) \, dl \, dk$$
  
+  $\frac{1}{\pi} \int e^{itS(k,l;\zeta,\eta)} (T^+(k,l) + T^-(k,l)) \frac{\partial \mu^l}{\partial x} (l, x; y, t) \, dl \, dk$ 

where

$$S(k,l;\zeta,\eta) = (l-k)\zeta - (l^2 - k^2)\eta + 4(l^3 - k^3)$$

and  $\mu^l = \mu^l(k, x; y, t)$  solves the following nonlocal Riemann-Hilbert problem: let

$$(\mathcal{T}_{t,x,y}^{\pm}f)(k) = \int T^{\pm}(k,l)e^{itS(k,l;\zeta,\eta)}f(l)\,dl$$

and let  $C_{\pm}$  be Cauchy projections on  $L^2(\mathbb{R}, dk)$  (recall that  $||C_{\pm}||_{L^2 \to L^2} = 1$ and  $C_+ - C_- = I$ ). Then

$$\mu^l = 1 + \mathcal{C}_T \mu^l$$

where

$$\mathcal{C}_T f = \mathcal{C}_+(\mathcal{T}^- f) + \mathcal{C}_-(\mathcal{T}^+ f)$$

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#### Nonlinear Problem - Reconstruction Formula

It will be useful to divide

$$u(t, x, y) = u_1(t, x, y) + u_2(t, x, y)$$

where

$$u_{1}(t, x, y) = \frac{1}{\pi} \int e^{itS(k,l;\xi,\eta)} i(l-k) f(k,l) \, dl \, dk$$
  

$$u_{2}(t, x, y) = \frac{1}{\pi} \int e^{itS(k,l;\xi,\eta)} i(l-k) f(k,l) (\mu^{l}(l, x; y, t) - 1) \, dl \, dk$$
  

$$+ \frac{1}{\pi} \int e^{itS(k,l;\xi,\eta)} f(k,l) \frac{\partial \mu^{l}}{\partial x} (l, x; y, t) \, dl \, dk$$

where

$$f(k,l) = T^+(k,l) + T^-(k,l).$$

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#### Nonlocal Riemann-Hilbert Problem

Let

$$(\mathcal{T}_{t,x,y}^{\pm}f)(k) = \int T^{\pm}(k,l)e^{itS(k,l;\zeta,\eta)}f(l)\,dl$$

and let  $C_{\pm}$  be Cauchy projections on  $L^2(\mathbb{R}, dk)$ . Then

$$\mu^l = 1 + \mathcal{C}_T \mu^l \tag{5}$$

where

$$\mathcal{C}_T f = C_+(\mathcal{T}^- f) + C_-(\mathcal{T}^+ f)$$

is a Hilbert-Schmidt integral operator with norm

$$\|C_T\|_{H.S.} \lesssim \|T^+\|_{L^2} + \|T^-\|_{L^2}.$$

**Proposition** Suppose that  $T^{\pm} \in L^2(\mathbb{R}^2)$  has small norm. Then  $(I - C_T)^{-1}$  exists as a map from  $L^2(\mathbb{R}, dk)$  to itself with bounds uniform in *x*, *y*, *t*.

**Theorem** Suppose that  $T^{\pm} \in L^2(\mathbb{R}^2)$  of small norm. There is a unique solution  $\mu^l = \mu^l(k, x; y, t)$  of (5) with  $\mu^l - 1 \in L^2(\mathbb{R}, dk)$ .

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# Large-Time Asymptotics: Scattering Solution

From

$$\mu^l - 1 = \mathcal{C}_T(1) + \mathcal{C}_T(\mu^l - 1)$$

we get the solution formula

$$\mu^l - 1 = (I - \mathcal{C}_T)^{-1}(\mathcal{C}_T 1)$$

Note that

$$C_T 1 = C_+(\mathcal{T}_{t,x,y}^- 1) + C_-(\mathcal{T}_{t,x,y}^+ 1)$$

where

$$\mathcal{T}_{t,x,y}^{\pm} 1 = \int T^{\pm}(k,l) e^{itS(k,l;\zeta,\eta)} \, dl$$

Similarly

$$\frac{\partial \mu^l}{\partial x} = (I - \mathcal{C}_T)^{-1} \left[ \frac{\partial}{\partial x} (C_T(1)) + \mathcal{C}_{\partial T/\partial x} (\mu^l - 1) \right]$$

Large-time asymptotics in  $L^2(\mathbb{R})$  (with parameters *x*, *y*) are determined by

$$\mathcal{C}_T(1), \quad \frac{\partial}{\partial x}(\mathcal{C}_T(1))$$

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Large-Time Asymptotics: Scattering Solution  $C_T(1)$  and  $\frac{\partial}{\partial x}(C_T(1))$  have  $L^2$  norms bounded by the  $L^2$  norms of  $\mathcal{T}_{t,x,y}^{\pm}(1) = \int T^{\pm}(k,l)e^{itS(k,l;\zeta,\eta)} dl$  $\frac{\partial}{\partial x}\mathcal{T}_{t,x,y}^{\pm}(1) = \int i(l-k)T^{\pm}(k,l)e^{itS(k,l;\zeta,\eta)} dl$ 

**Lemma** Let  $\delta > 0$ . The following estimates hold (recall  $a = 12\xi - \zeta^2$ )

$$\begin{split} \|\mathcal{C}_{T}(1)\|_{L^{2}} \lesssim \begin{cases} t^{-\frac{1}{2}}, & a < -\delta \\ t^{-\frac{1}{3}}, & |a| < \delta \\ t^{-1}, & a > \delta \end{cases} \\ \left| \frac{\partial}{\partial x} \mathcal{C}_{T}(1) \right\|_{L^{2}} \lesssim \begin{cases} t^{-\frac{1}{2}}, & a < -\delta < 0 \\ t^{-\frac{1}{3}}, & |a| < \delta \\ t^{-1}, & a > \delta \end{cases} \end{split}$$

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# Large-Time Asymptotics: Scattering Solution Proving the estimates on $C_T(1)$ and $\frac{\partial}{\partial x}C_T(1)$ -consider $\mathcal{T}^+_{t,x,y}(1)$ :

$$\mathcal{T}^+_{t,x,y}(1)(k) = \int_k^\infty T^+(k,l) e^{itS(k,l;\xi,\eta)} dl$$

By the change of variables  $(k, l) \rightarrow (k + \eta/12, l + \eta/12)$ , we get

$$\mathcal{T}^+_{t,x,y}(k) = e^{-12it(ak+k^3/3)} \int_k^\infty \widetilde{T}^+(k,l) e^{12it(al+l^3/3)} \, dl$$

Using Fourier transforms we can rewrite the integral as  $\int h(k,\xi)g(\xi;t,a) d\xi$ where  $h(k,\xi)$  is a partial Fourier transform of  $\tilde{T}$  and

$$g(\xi;t,a) = (2\pi)^{-\frac{1}{2}} \int_{k}^{\infty} e^{-i\xi l} e^{12it(al+l^{3}/3)} dl$$

is an Airy type integral with

$$\begin{split} |g(\xi;t,a)| \lesssim_r t^{-\frac{1}{2}} (1+|\xi|), & a < -r^2 \\ |g(\xi;t,a)| \lesssim_c t^{-\frac{1}{3}}, & |a| < c \end{split}$$

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#### Long-Time Asymptotics: Scattering Solution

From the estimates on  $C_T(1)$  and  $C_{\partial T/\partial x}(1)$  and the formulas

$$\mu^l - 1 = (I - \mathcal{C}_T)^{-1}(\mathcal{C}_T 1),$$

and

$$\frac{\partial \mu^l}{\partial x} = (I - \mathcal{C}_T)^{-1} \left[ \frac{\partial}{\partial x} (C_T(1)) + \mathcal{C}_{\partial T/\partial x} (\mu^l - 1) \right],$$

we obtain:

**Proposition** Let  $\delta > 0$ . The following estimates hold (recall  $a = 12\zeta - \eta^2$ ):

$$\begin{aligned} \left\| \mu^{l} - 1 \right\|_{L^{2}} &\lesssim \begin{cases} t^{-\frac{1}{2}}, & a < -\delta, \\ t^{-\frac{1}{3}}, & |a| \le \delta, \\ t^{-1}, & a > \delta. \end{cases} \\ \left\| \frac{\partial \mu^{l}}{\partial x} \right\|_{L^{2}} &\lesssim \begin{cases} t^{-\frac{1}{2}}, & a < -\delta \\ t^{-\frac{1}{3}}, & |a| \le \delta \\ t^{-1}, & a > \delta \end{cases} \end{aligned}$$

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The reconstruction formula may be written

$$u(t, x, y) = u_1(t, x, y) + u_2(t, x, y)$$

where

$$\begin{split} u_1(t, x, y) &= \frac{1}{\pi} \int e^{12itS(k,l;a)} i(l-k) f(k,l) \, dk \, dl \\ u_2(t, x, y) &= \frac{1}{\pi} \int e^{12itS(k,l;a)} i(l-k) f(k,l) (\mu^l (l+\eta/12, x; y, t) - 1) \, dl \, dk \\ &+ \frac{1}{\pi} \int e^{12itS(k,l;a)} f(k,l) \frac{\partial \mu^l}{\partial x} (l+\eta/12, x; y, t) \, dl \, dk \end{split}$$

where

$$f(k,l) = T^+(k,l) + T^-(k,l)$$

We will analyze  $u_1$  using Airy asymptotics as in the linear problem, and  $u_2$  by a combination of Airy asymptotics and  $L^2$  estimates on the solutions of the nonlocal Riemann-Hilbert problem

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#### Local Term: Ai and Asymptotics

$$u_1(t, x, y) = \frac{1}{\pi} \int e^{12itS(k,l;a)} i(l-k)f(k,l) \, dk \, dl$$

Exactly as in the linear case, we obtain

$$u_1(t, x, y) = \begin{cases} \mathcal{O}(t^{-1}), & a < -\delta, \\ \mathcal{O}(t^{-2/3}), & |a| < \delta, \\ o(t^{-1}), & a > \delta. \end{cases}$$

*Remark*: For the local term, we can obtain a result reminiscent of the asymptotic formula of Manakov, Santini, and Takhtajan:

$$u_1(t, x, y) \underset{t \to \infty}{\sim} \frac{1}{t} \operatorname{Re} \left( e^{i(16tr^3 - \pi/2)} \widetilde{T}^+(-r, r) + e^{-i(16tr^3 - \pi/2))} \widetilde{T}^-(r, -r) + o(1) \right)$$

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#### Nonlocal Term

$$u_{2}(t, x, y) = \frac{1}{\pi} \int e^{12itS(k,l;a)} i(l-k) f(k,l) (\mu^{l}(l+\eta/12, x; y, t) - 1) \, dl \, dk$$
$$+ \frac{1}{\pi} \int e^{12itS(k,l;a)} f(k,l) \frac{\partial \mu^{l}}{\partial x} (l+\eta/12, x; y, t) \, dl \, dk$$

Our strategy will be to make:

- (1)  $L^2$  estimates on  $\mu^l$  and  $\partial \mu^l / \partial x$  together with  $L^2$  estimates on scattering data for the integration over l
- (2) Stationary phase estimates for the integration over k

Nondegenerate stationary phase:

We have 
$$\left\|\mu^l - 1\right\|_{L^2_l} \lesssim t^{-\frac{1}{2}}$$
 and  $\left\|\frac{\partial \mu^l}{\partial x}\right\|_{L^2_l} \lesssim t^{-\frac{1}{2}}$ 

We gain an additional  $O(t^{-1/2})$  from nondegenerate stationary phase in the k integration

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#### Nonlocal Term

$$u_{2}(t, x, y) = \frac{1}{\pi} \int e^{12itS(k,l;a)} i(l-k) f(k,l) (\mu^{l}(l+\eta/12, x; y, t) - 1) \, dl \, dk$$
$$+ \frac{1}{\pi} \int e^{12itS(k,l;a)} f(k,l) \frac{\partial \mu^{l}}{\partial x} (l+\eta/12, x; y, t) \, dl \, dk$$

Our strategy will be to make:

- (1)  $L^2$  estimates on  $\mu^l$  and  $\partial \mu^l / \partial x$  together with  $L^2$  estimates on scattering data for the integration over l
- (2) Stationary phase estimates for the integration over k

Degenerate stationary phase:

We have 
$$\left\|\mu^l - 1\right\|_{L^2_l} \lesssim t^{-\frac{1}{3}}$$
 and  $\left\|\frac{\partial \mu^l}{\partial x}\right\|_{L^2_l} \lesssim t^{-\frac{1}{3}}$ 

We gain an additional  $O\left(t^{-\frac{1}{3}}\right)$  from degenerate stationary phase in the *k* integration

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#### Nonlocal Term

$$u_{2}(t, x, y) = \frac{1}{\pi} \int e^{12itS(k,l;a)} i(l-k) f(k,l) (\mu^{l}(l+\eta/12, x; y, t) - 1) \, dl \, dk$$
$$+ \frac{1}{\pi} \int e^{12itS(k,l;a)} f(k,l) \frac{\partial \mu^{l}}{\partial x} (l+\eta/12, x; y, t) \, dl \, dk$$

Our strategy will be to make:

- (1)  $L^2$  estimates on  $\mu^l$  and  $\partial \mu^l / \partial x$  together with  $L^2$  estimates on scattering data for the integration over l
- (2) Stationary phase estimates for the integration over k

No Stationary Phase:

We have 
$$\left\|\mu^{l} - 1\right\|_{L^{2}_{l}} = \mathcal{O}\left(t^{-1}\right)$$
 and  $\left\|\frac{\partial\mu^{l}}{\partial x}\right\|_{L^{2}_{l}} = \mathcal{O}\left(t^{-1}\right)$ 

We gain an additional  $\mathcal{O}(t^{-1})$  decay through integration by parts in the k variable

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#### Next steps

- Obtain sharp(er) asymptotics for μ<sup>l</sup>(l, x; y, t), the solution of the nonlocal RHP
- Obtain sharp(er) estimates for *u*(*t*, *x*, *y*) near the critical region
- Obtain complete asymptotics in the sense of Kiselev's work on KP II

Thank you for your attention!